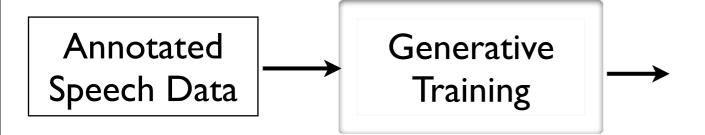
# Variational Approximation of Long-Span Language Models for LVCSR

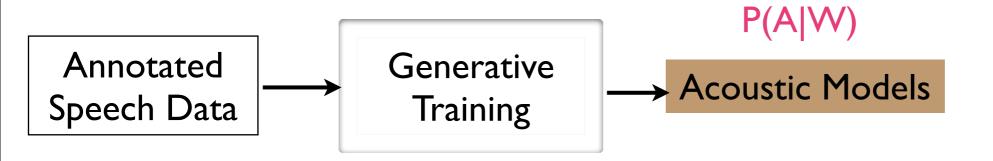
Anoop Deoras<sup>§</sup>, Tomáš Mikolov<sup>§§</sup> Stefan Kombrink<sup>§§</sup>, Martin Karafiát<sup>§§</sup> **Sanjeev Khudanpur**<sup>§</sup>

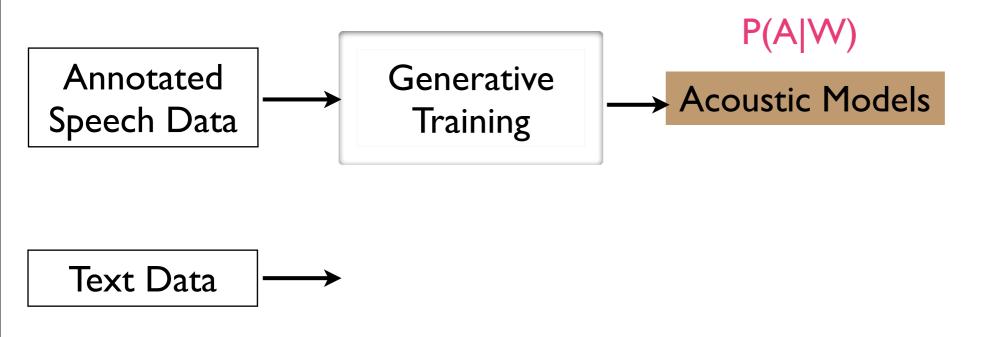
§ HLTCOE and CLSP, Johns Hopkins University, USA §§ Speech@FIT, Brno University of Technology, CZ

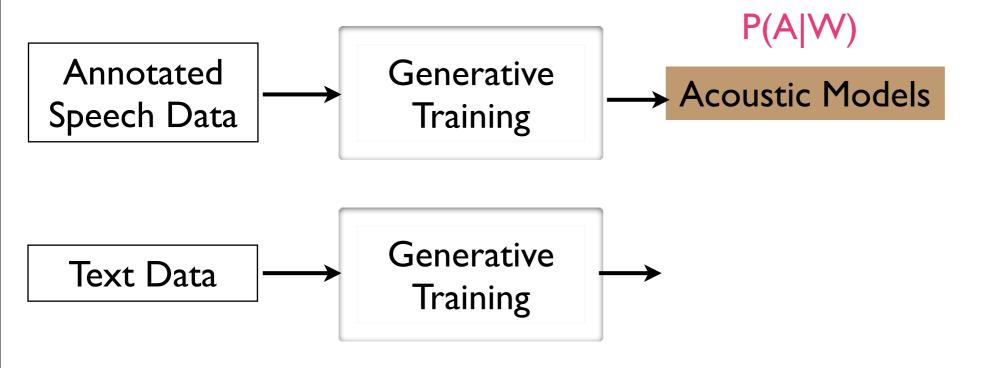
May 25th 2011, IEEE- ICASSP 2011

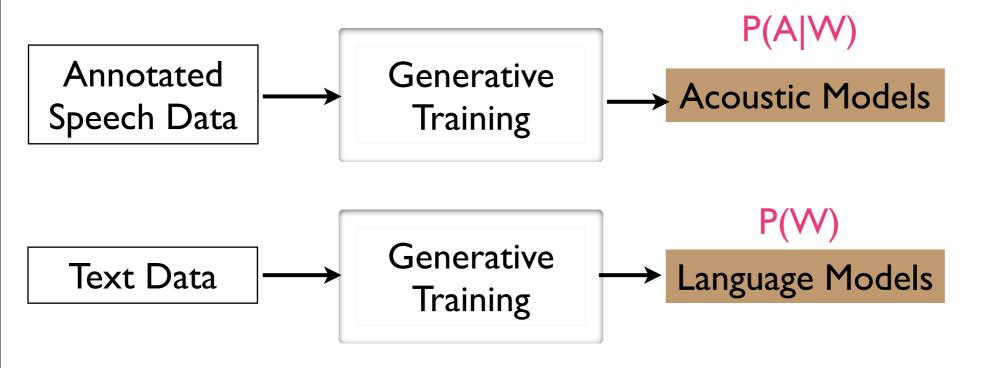
Annotated Speech Data

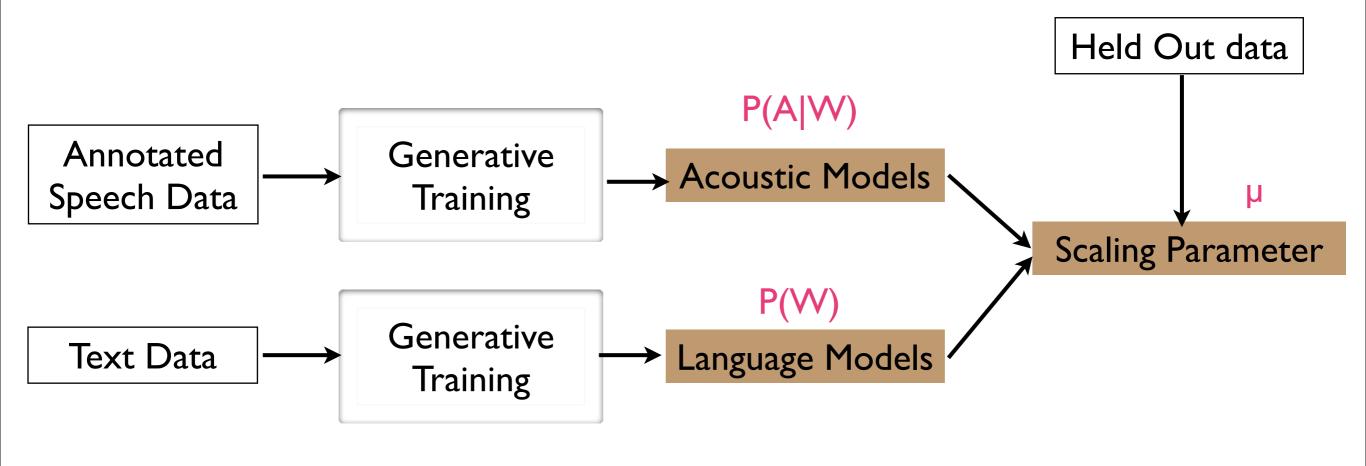


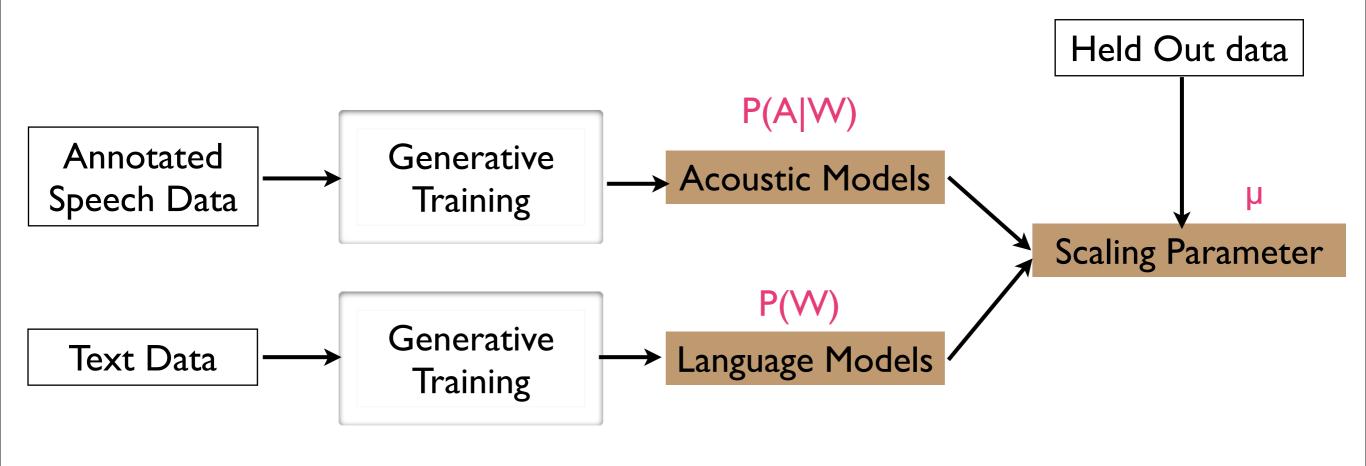




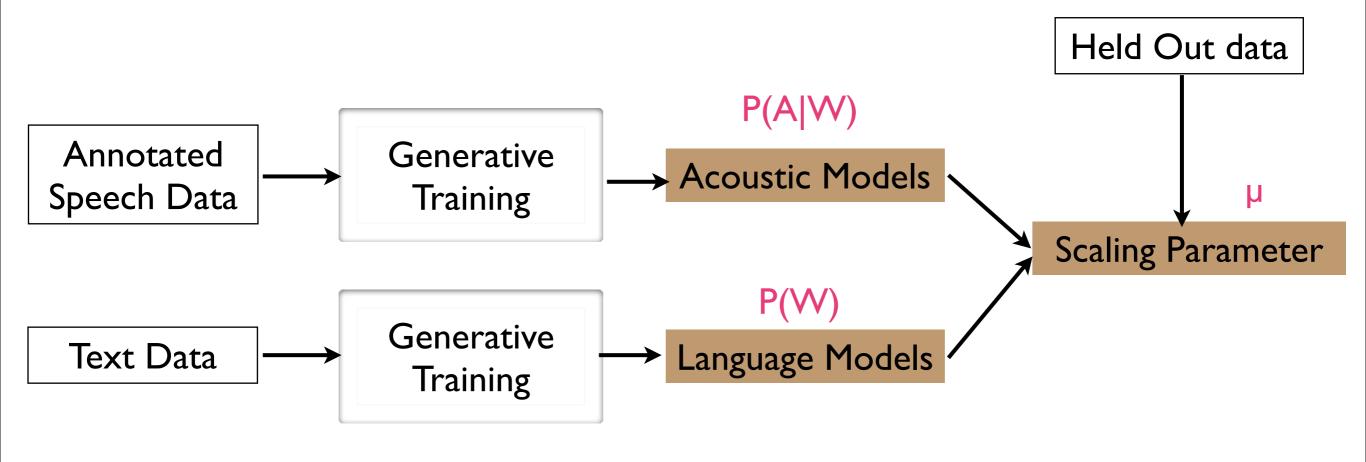


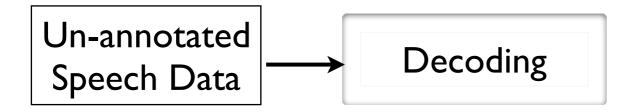


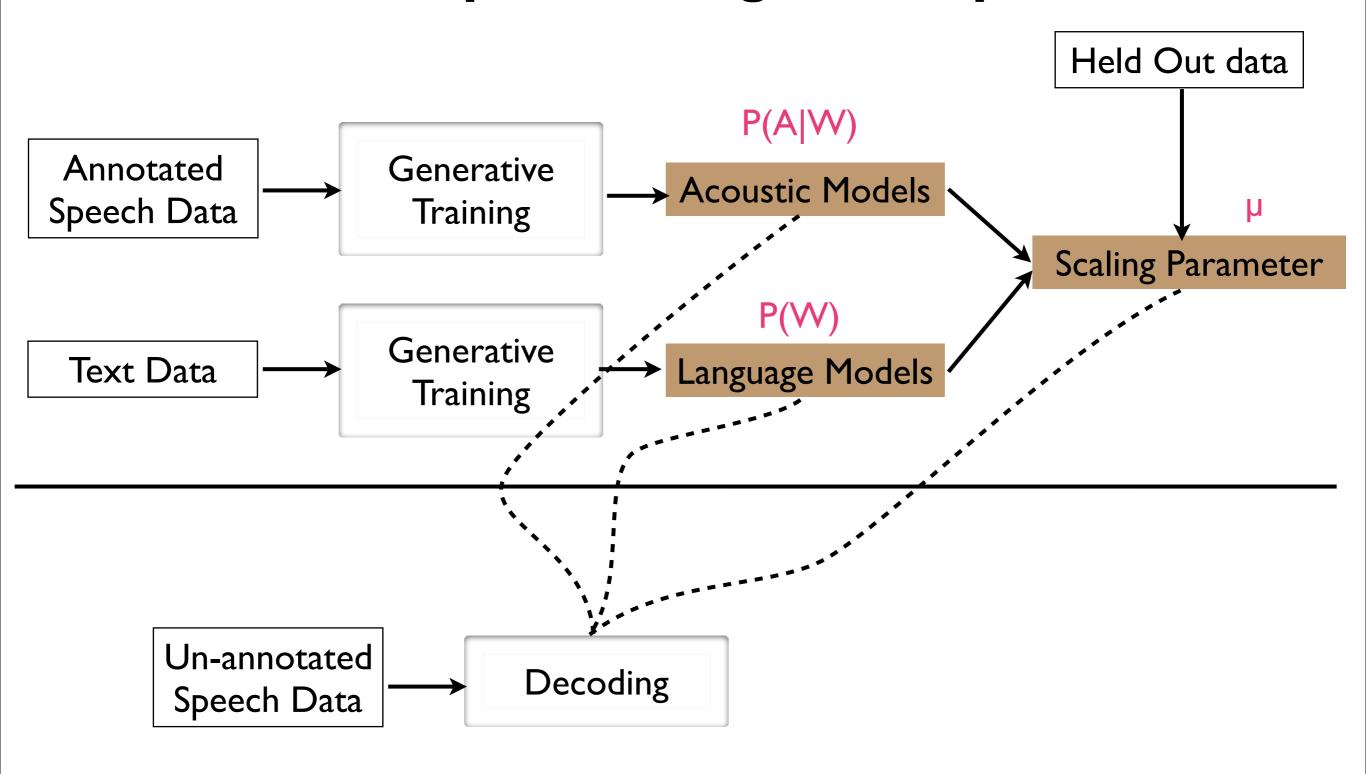


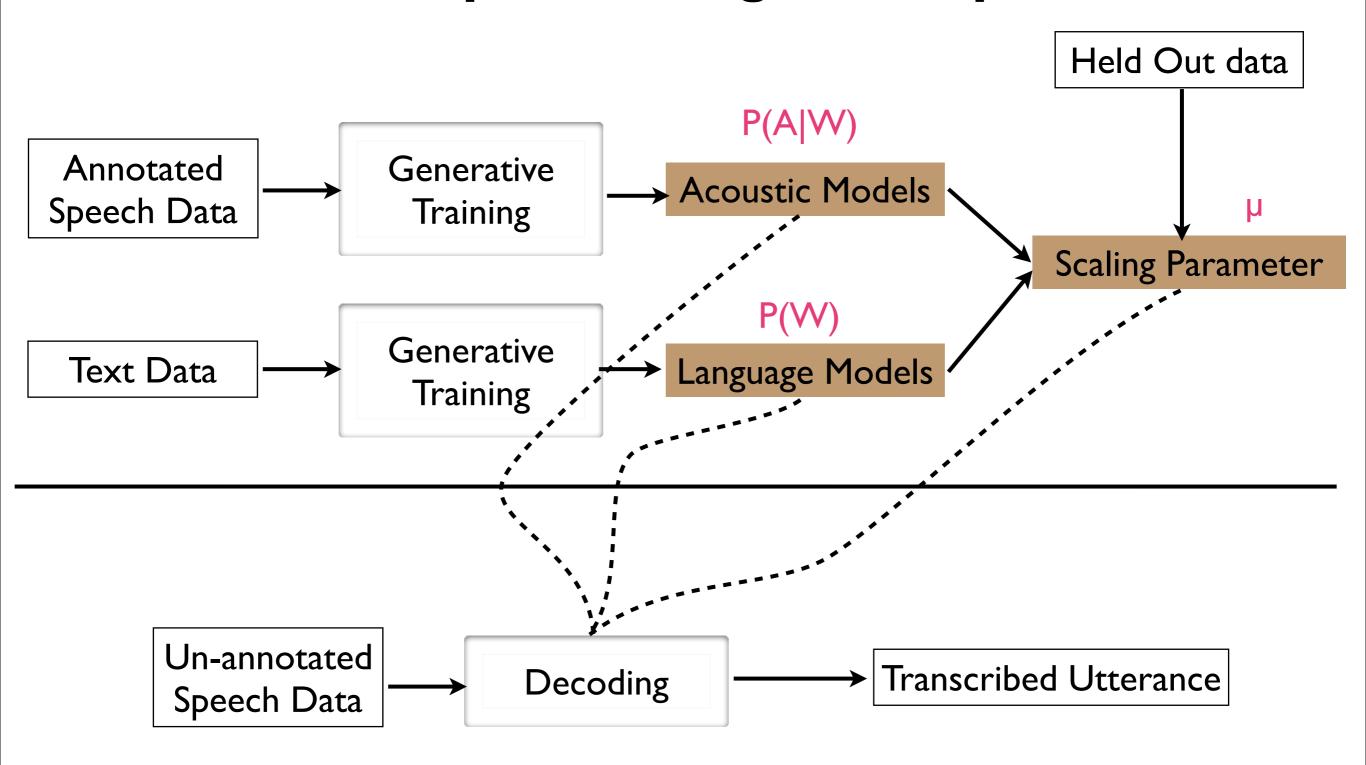


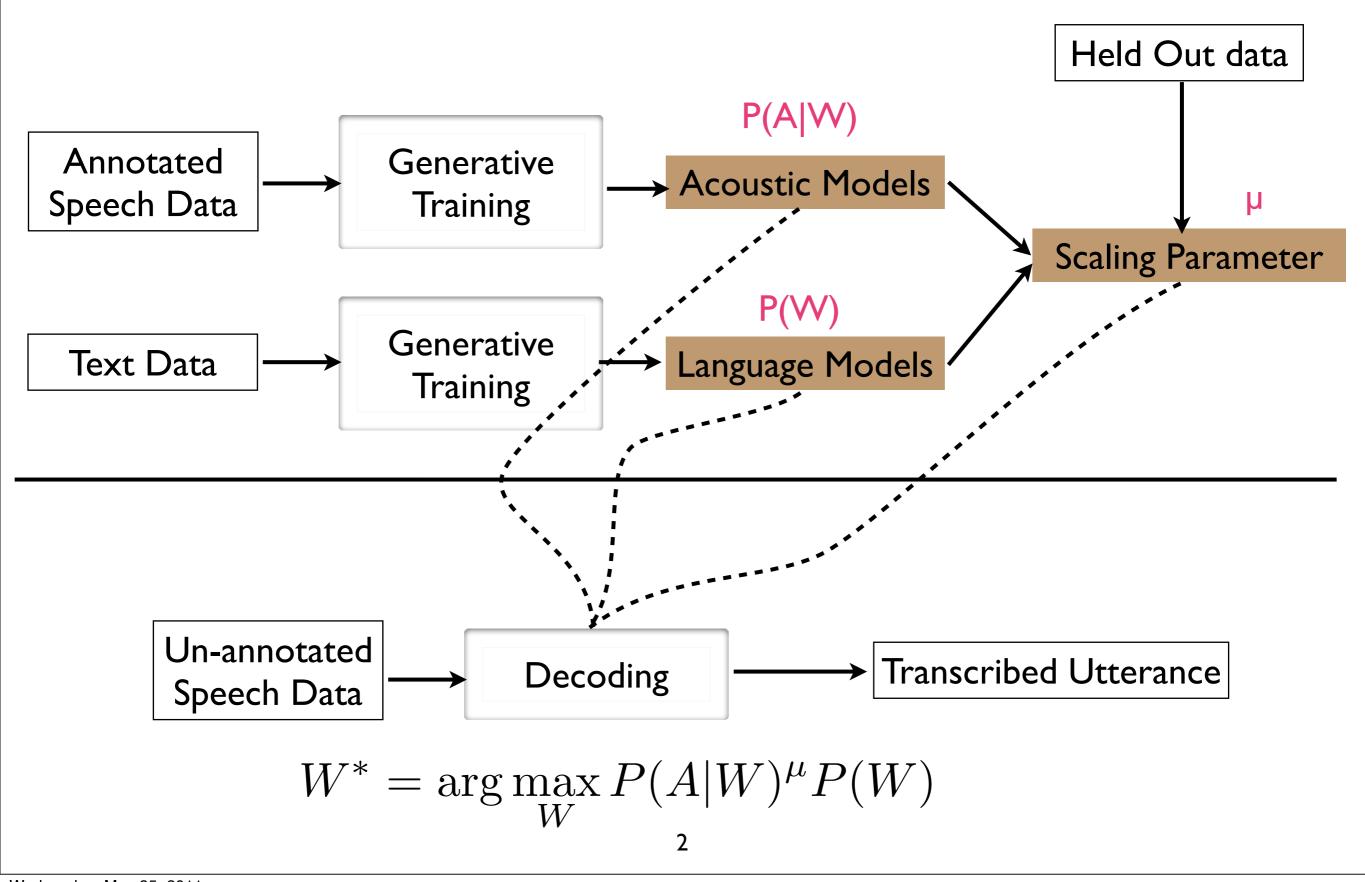












#### Language Models

Assign a probability distribution P(W) to any word string W.

P(W) is obtained using chain rule and then approximated using Markov assumption:

$$P(W) = \prod_{i=1}^{M} P(w_i|w_{i-1}, \dots, w_1) \approx \prod_{i=1}^{M} P(w_i|\phi(w_{i-1}, \dots, w_1))$$

#### Language Models

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P(W) is obtained using chain rule and then approximated using Markov assumption:

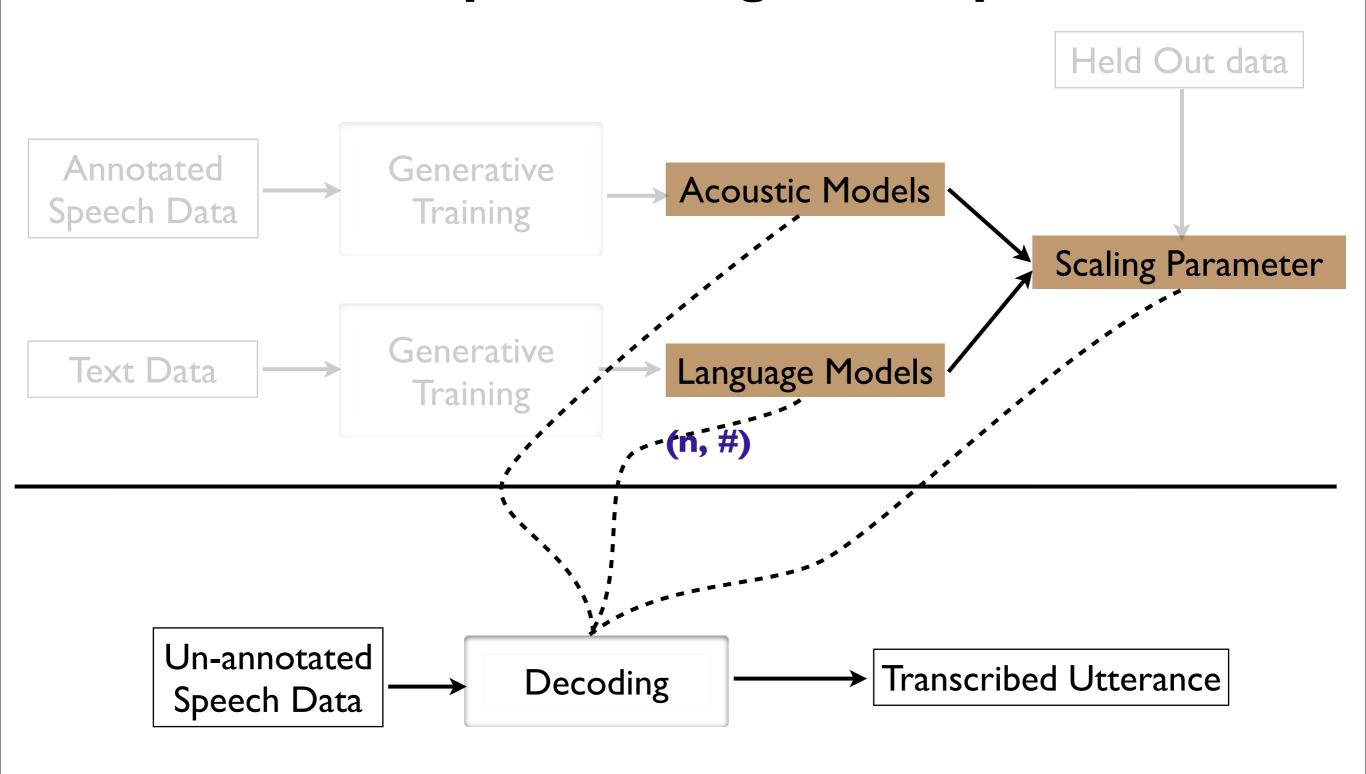
$$P(W) = \prod_{i=1}^{M} P(w_i|w_{i-1}, \dots, w_1) \approx \prod_{i=1}^{M} P(w_i|w_{i-1}, \dots, w_{i-n+1})$$

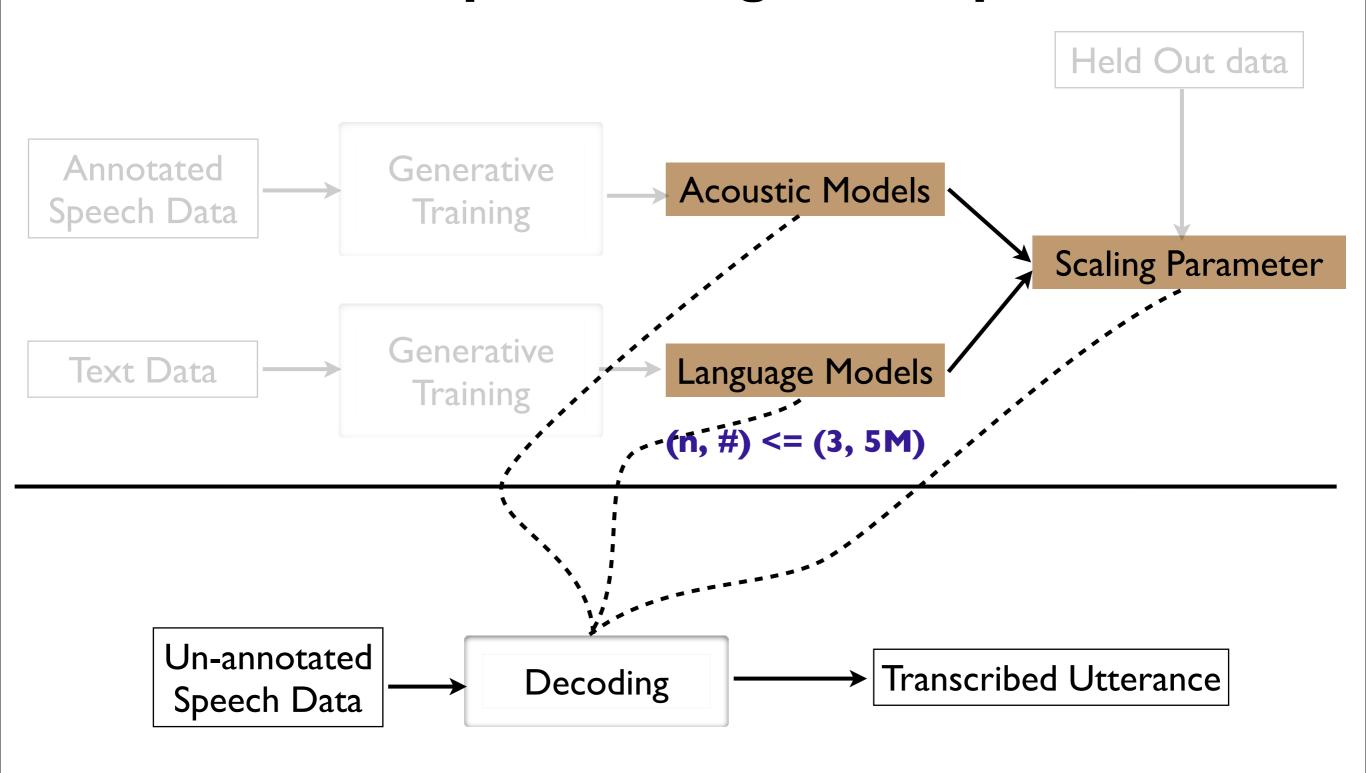
n-gram LM

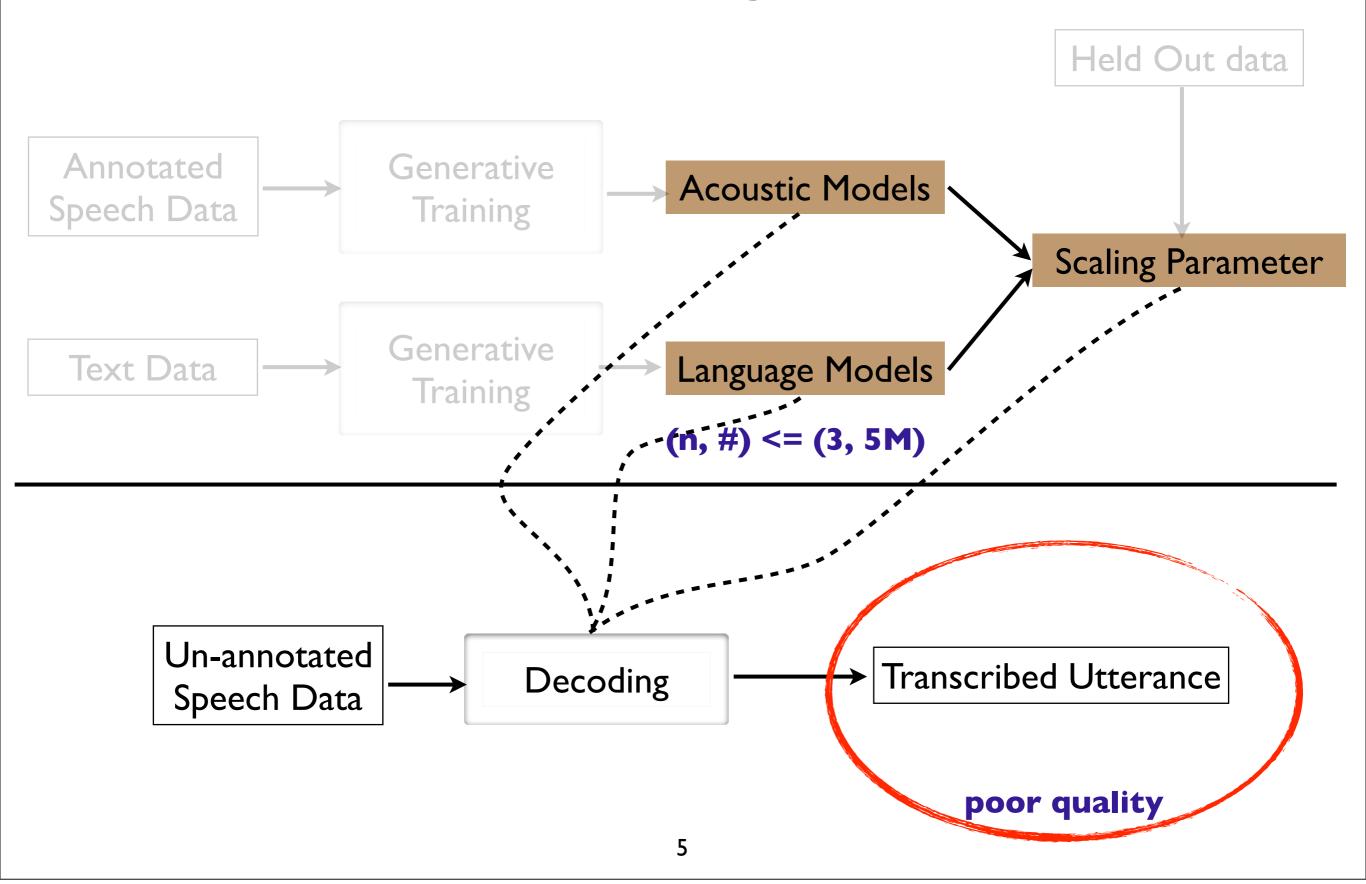
n: Order of LM

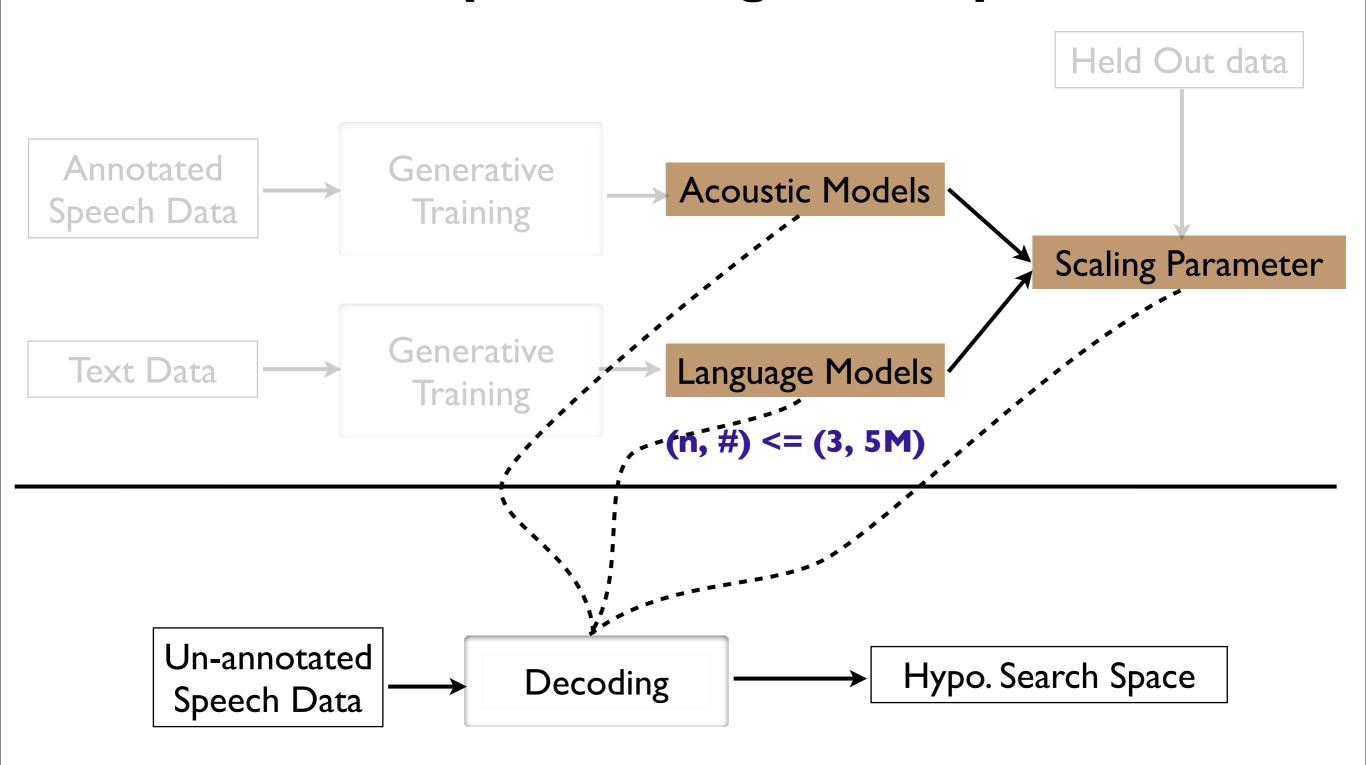
#{word, history}: Number of n-grams

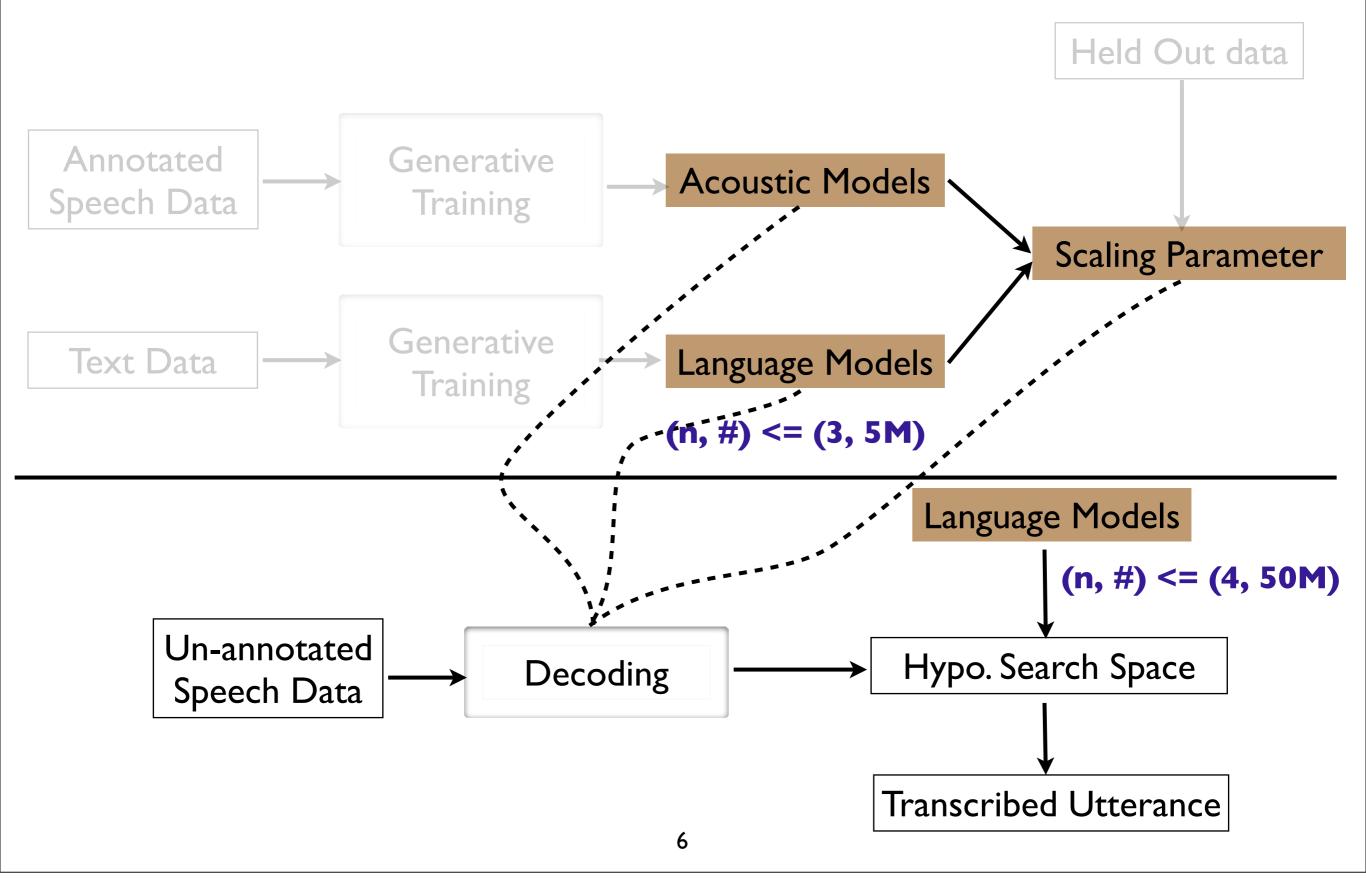
Size of LM (n, #)

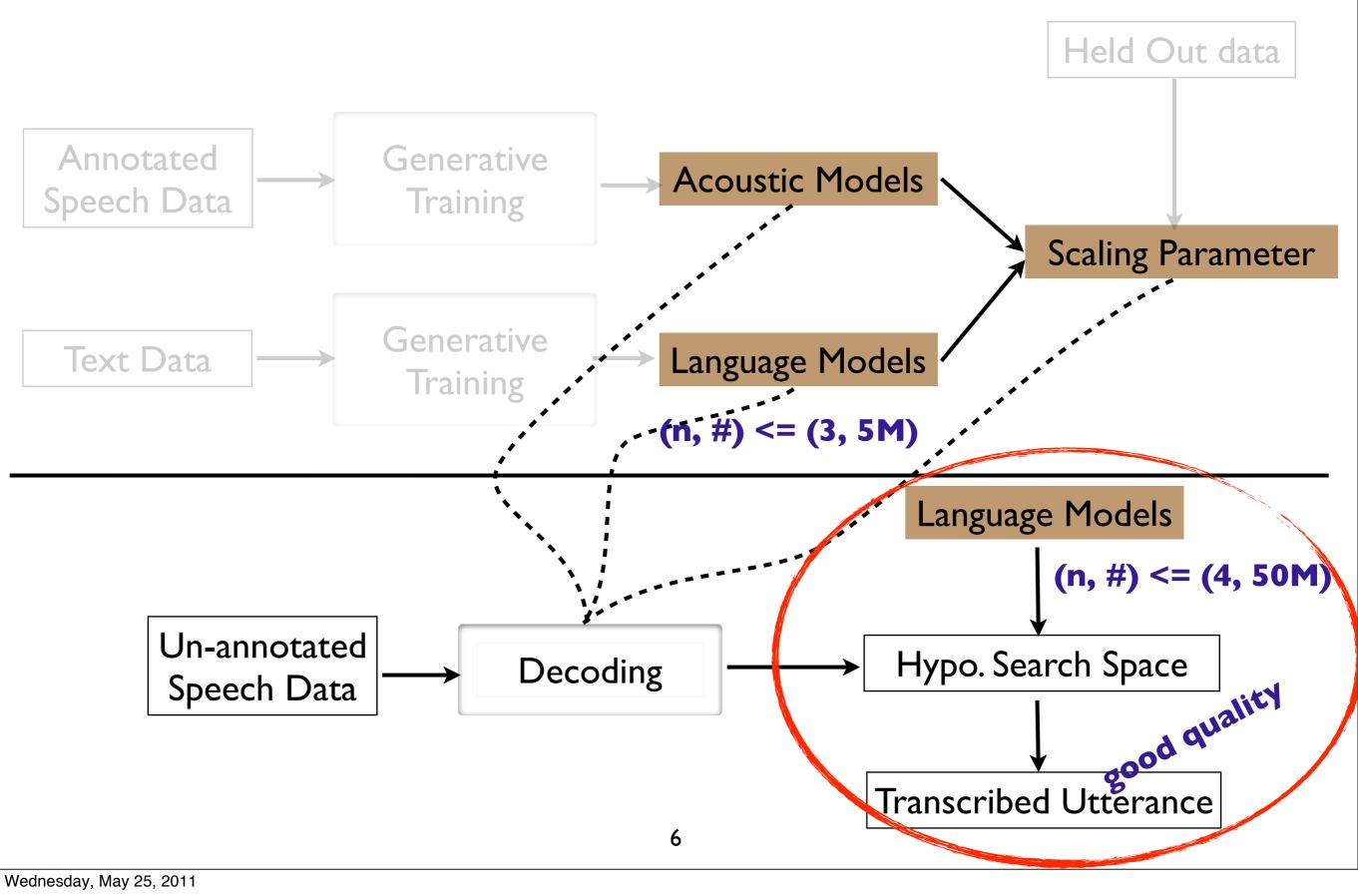


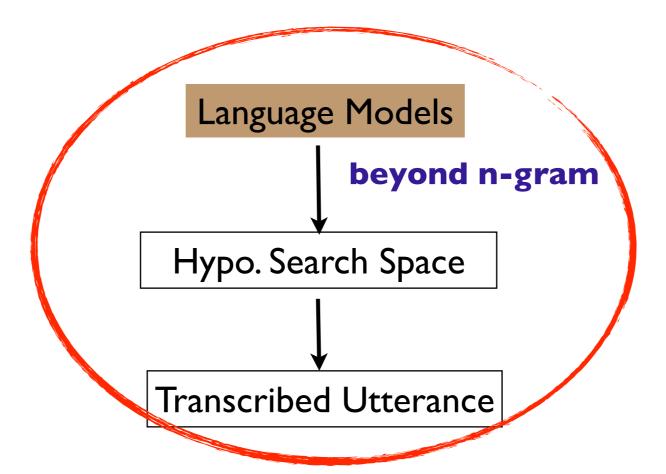


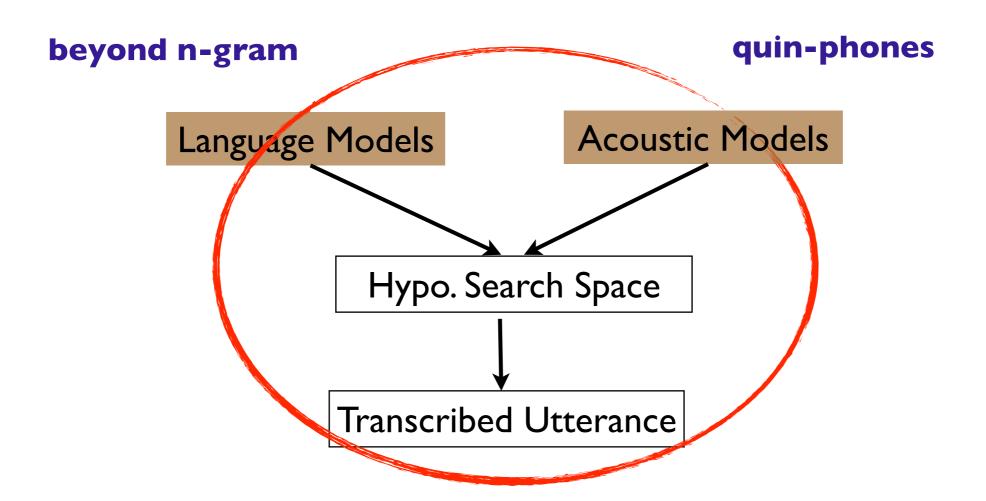




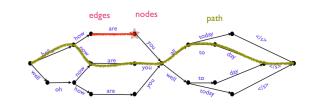


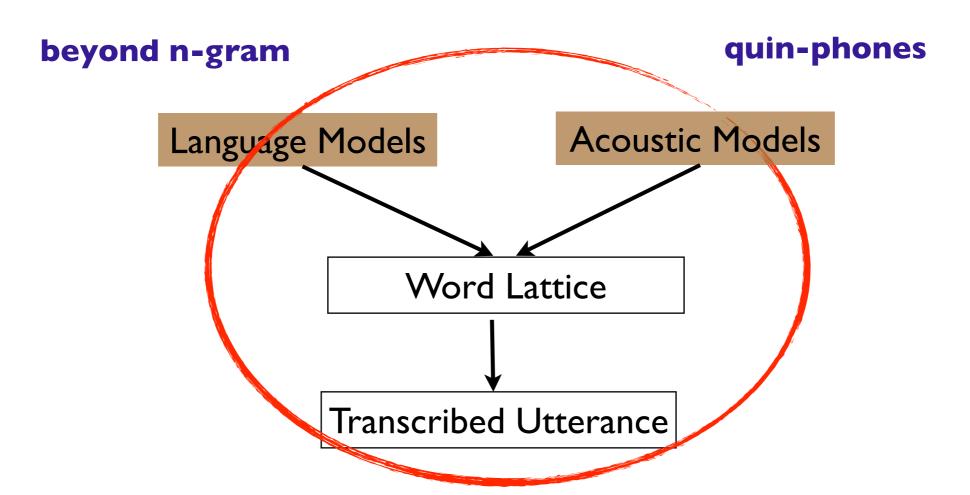


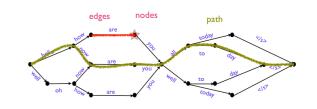


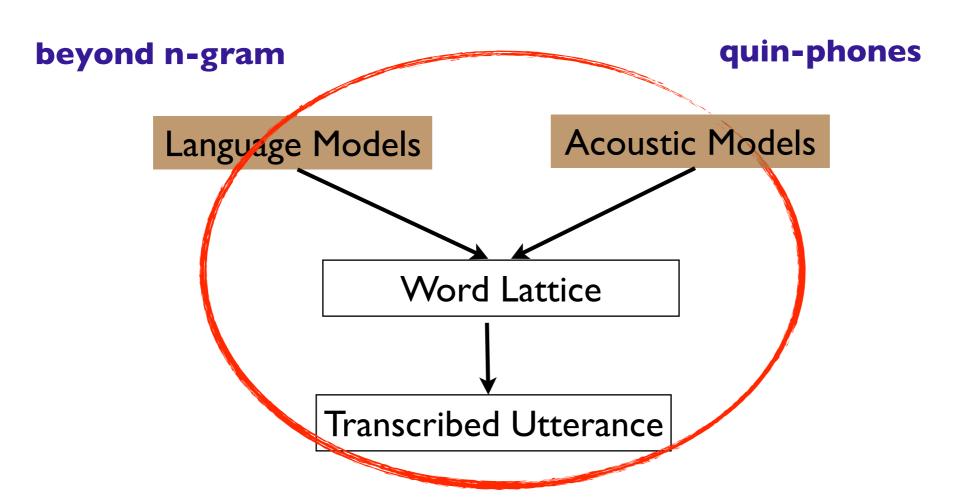


Re-scoring Decoding Framework







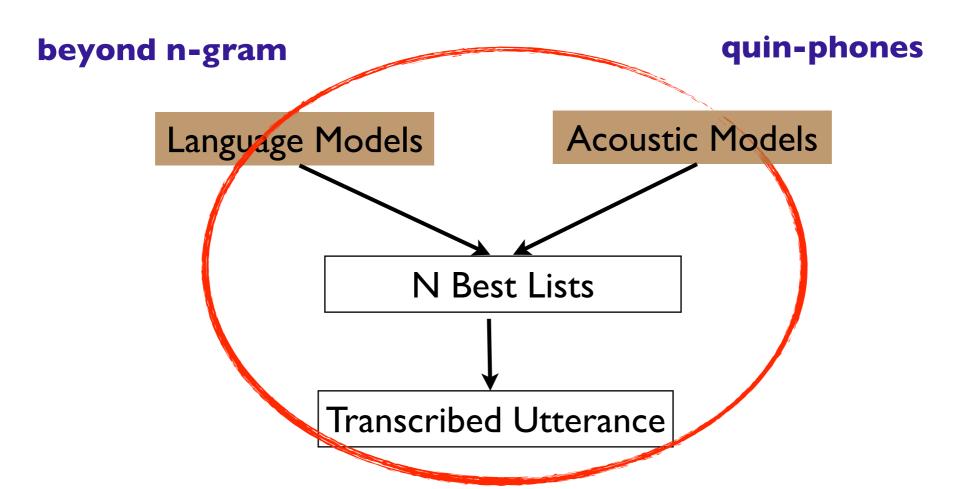


- Encodes exponential number of hypotheses (L)
- Deploying long-span models not always possible



- I hello how are you all to day
- 2. hello now are you all to day
- 3. hello how are you all today

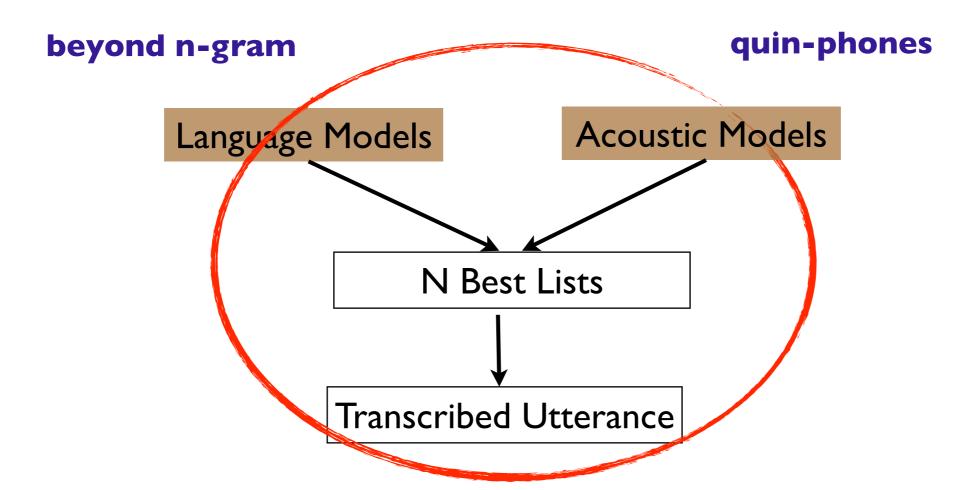
N. hello now are you well today



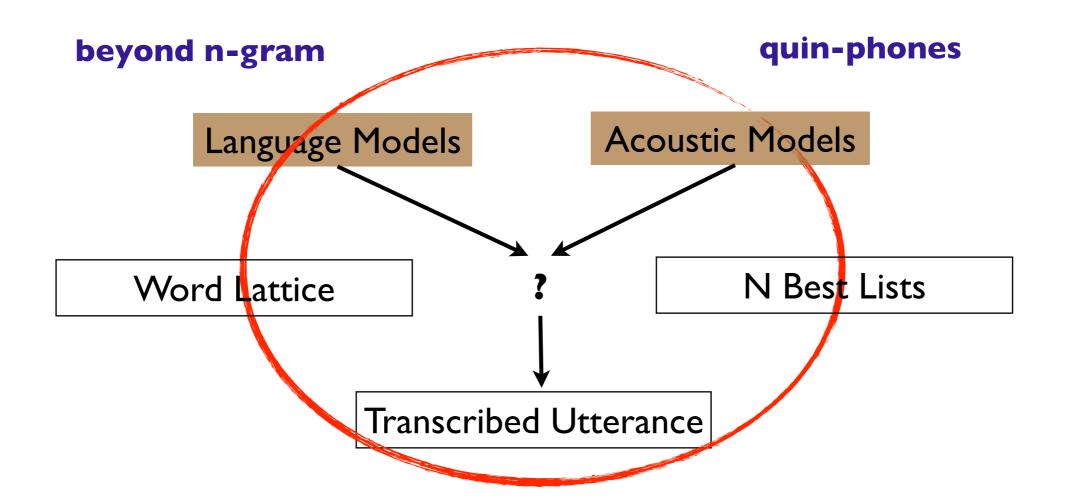


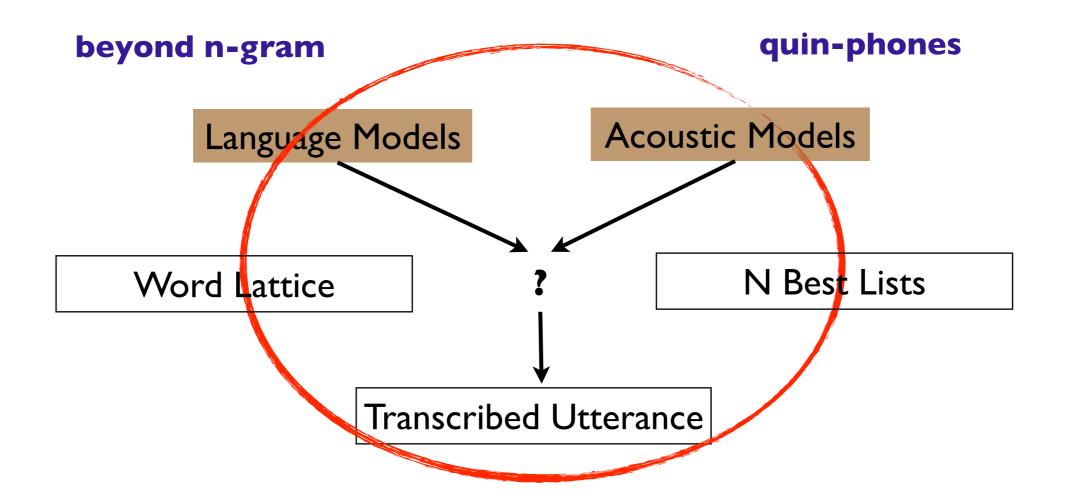
- I. hello how are you all to day
- 2. hello now are you all to day
- 3. hello how are you all today

N. hello now are you well today



- Long-Span Models can be easily deployed
- N << L
- NBests are **biased** towards baseline models

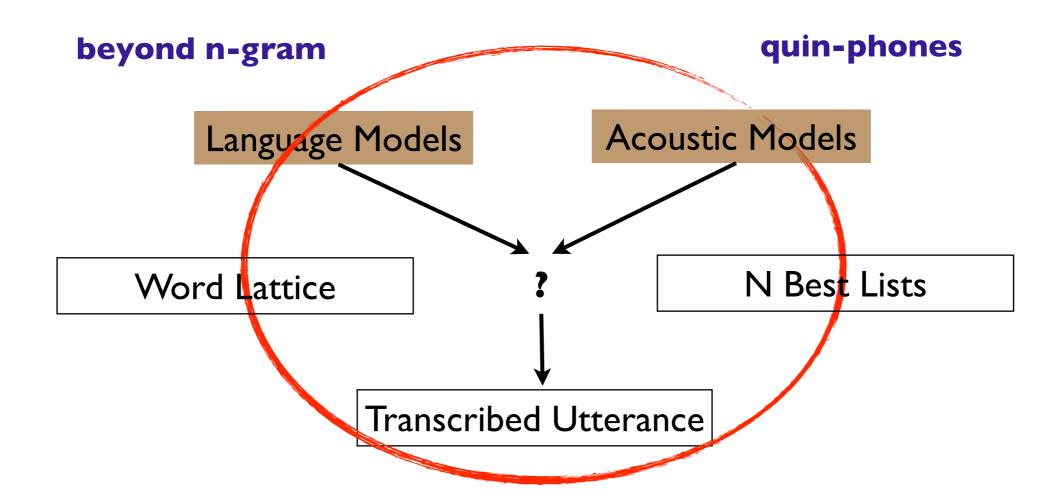




Large Search Space

**Local Models** 

Less Biased



Large Search Space

**Local Models** 

Less Biased

Limited Search Space

Long Span Models

More Biased

Large Search Space

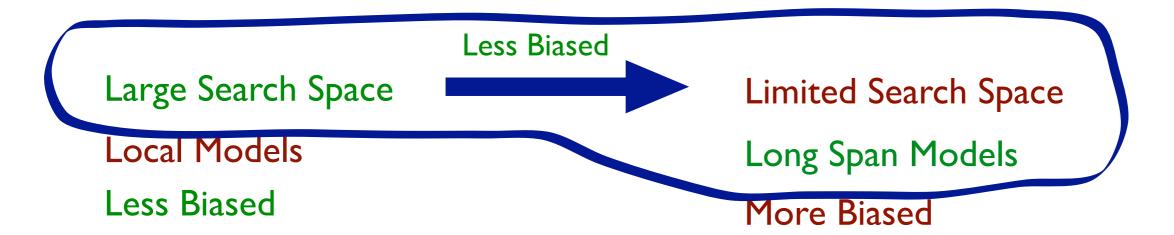
Local Models

Less Biased

Limited Search Space

Long Span Models

More Biased



#### Iterative Decoding for Re-scoring

(Deoras et.al ASRU 09, Rastrow et al ICASSP 2011)

Less Biased

Large Search Space

**Local Models** 

Less Biased

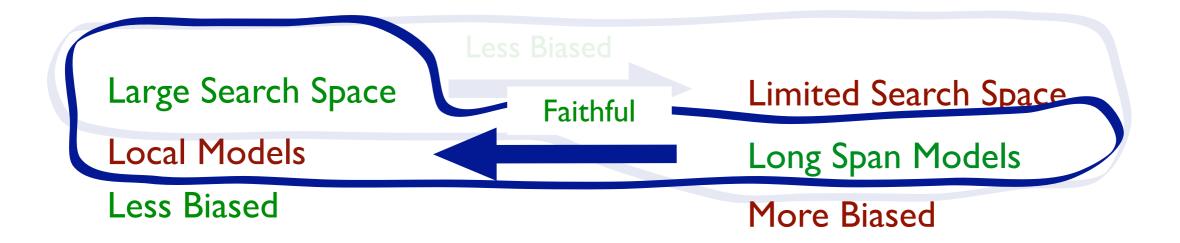
Limited Search Space

Long Span Models

More Biased

#### Iterative Decoding for Re-scoring

(Deoras et.al ASRU 09, Rastrow et al ICASSP 2011)

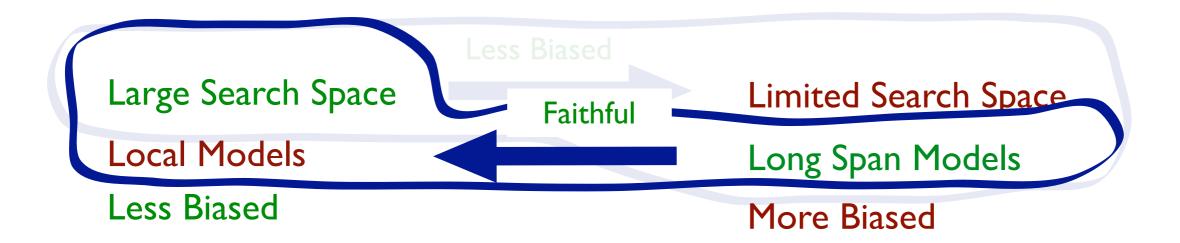


#### Iterative Decoding for Re-scoring

(Deoras et.al ASRU 09, Rastrow et al ICASSP 2011)

#### Approximation of Long Span Models

(Deoras et.al. ICASSP 11)



#### Iterative Decoding for Re-scoring

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Approximation of Long Span Models
(Deoras et.al. ICASSP 11)

**This Talk** 

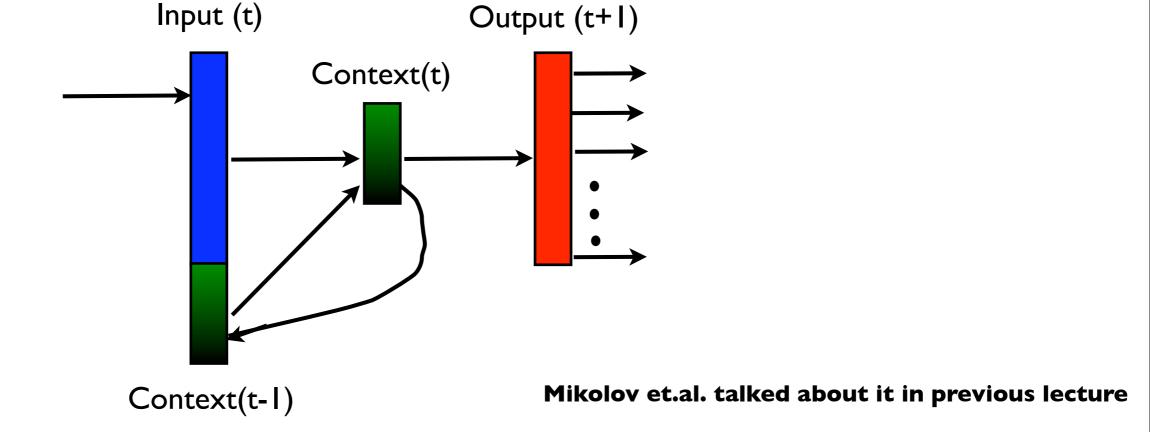


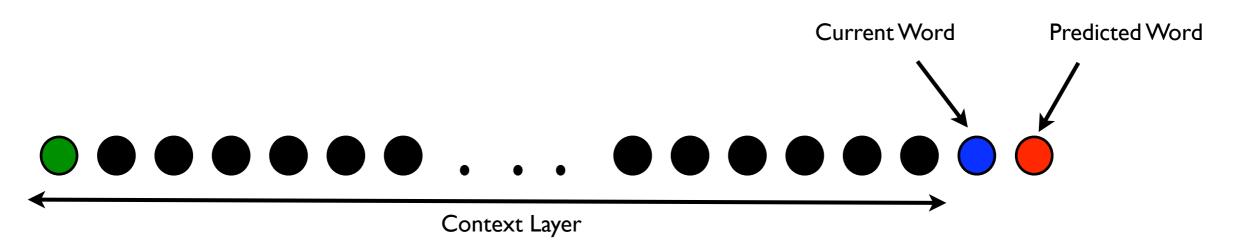
Introduction and Motivation



- RNN: Long-Span Models
- Variational Approximation Framework
- Experiments and Discussions
- Conclusion

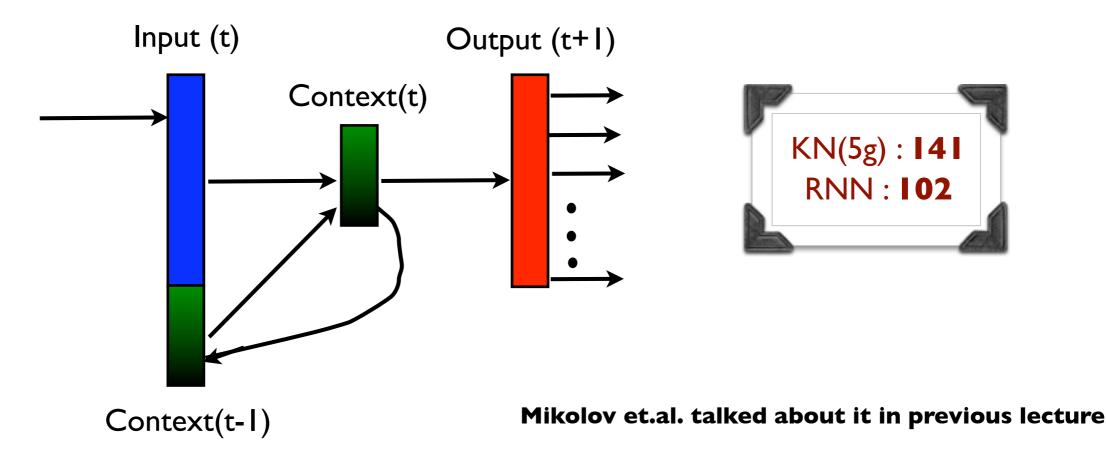
## Long Span Models: RNNs

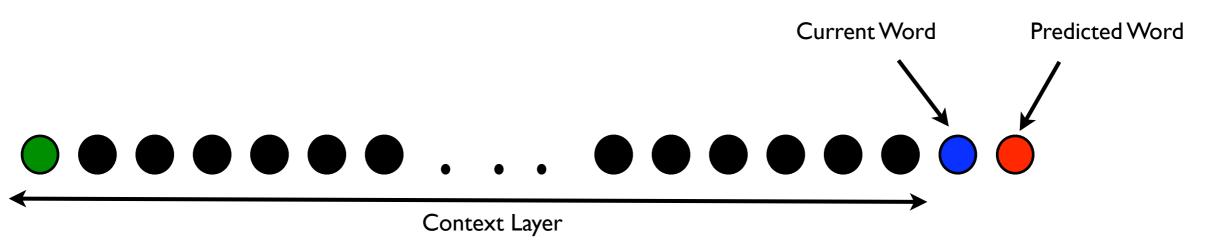




Unlike Backoff models, RNNs compute whole probability distribution at every time step.

## Long Span Models: RNNs





Unlike Backoff models, RNNs compute whole probability distribution at every time step.



Introduction and Motivation

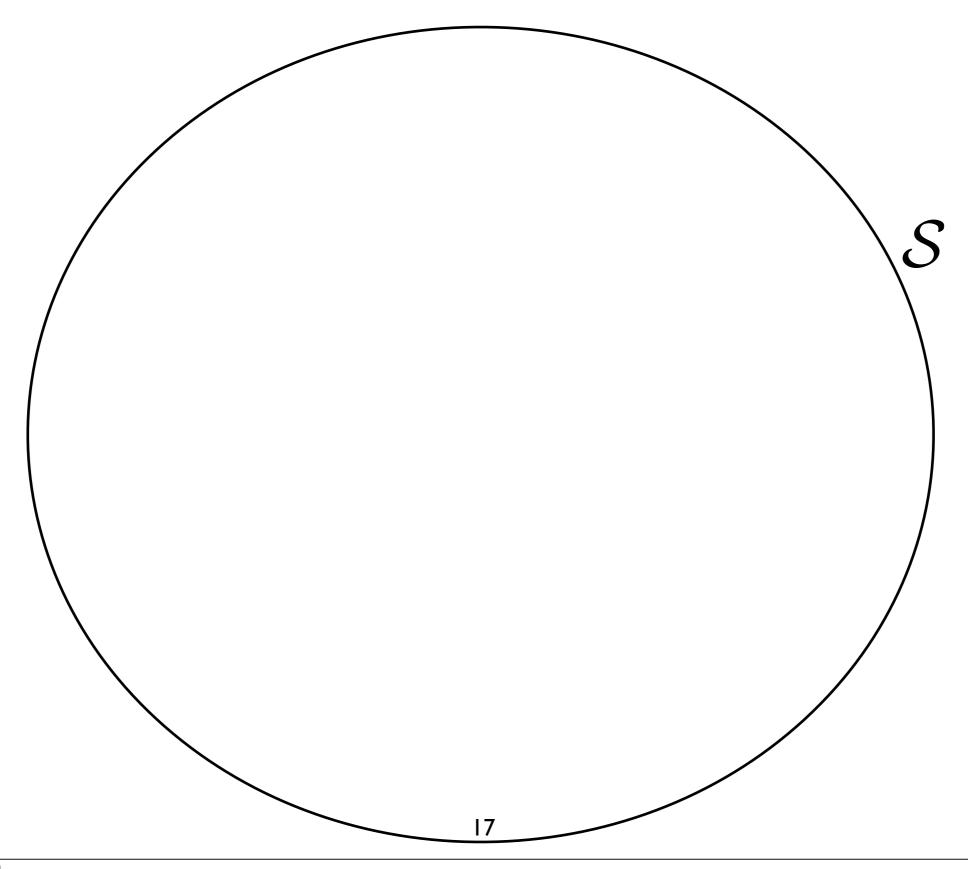


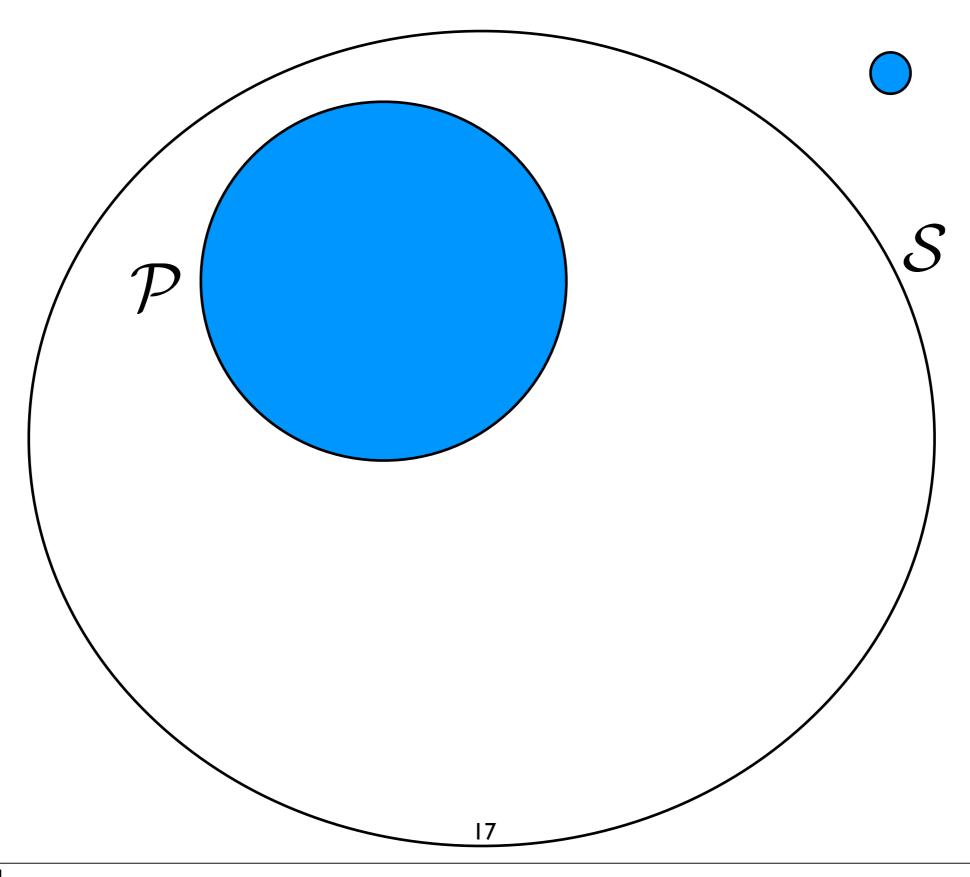
#### Variational Approximations

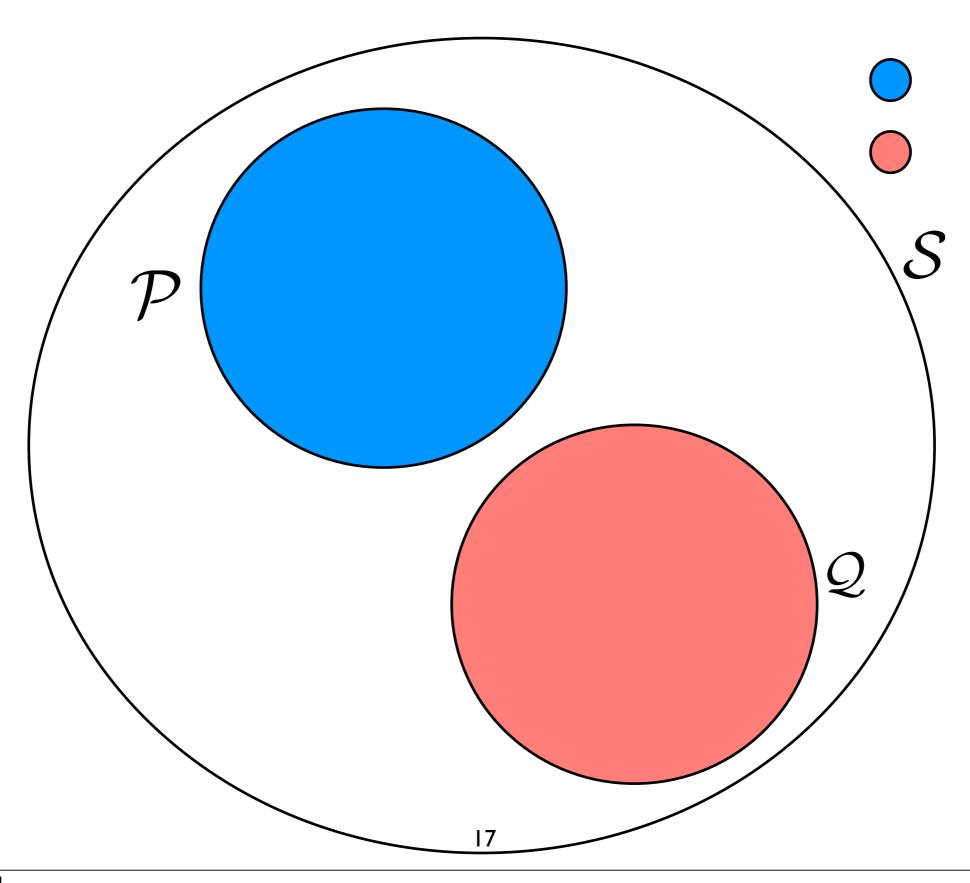
- RNN: Long-Span Models

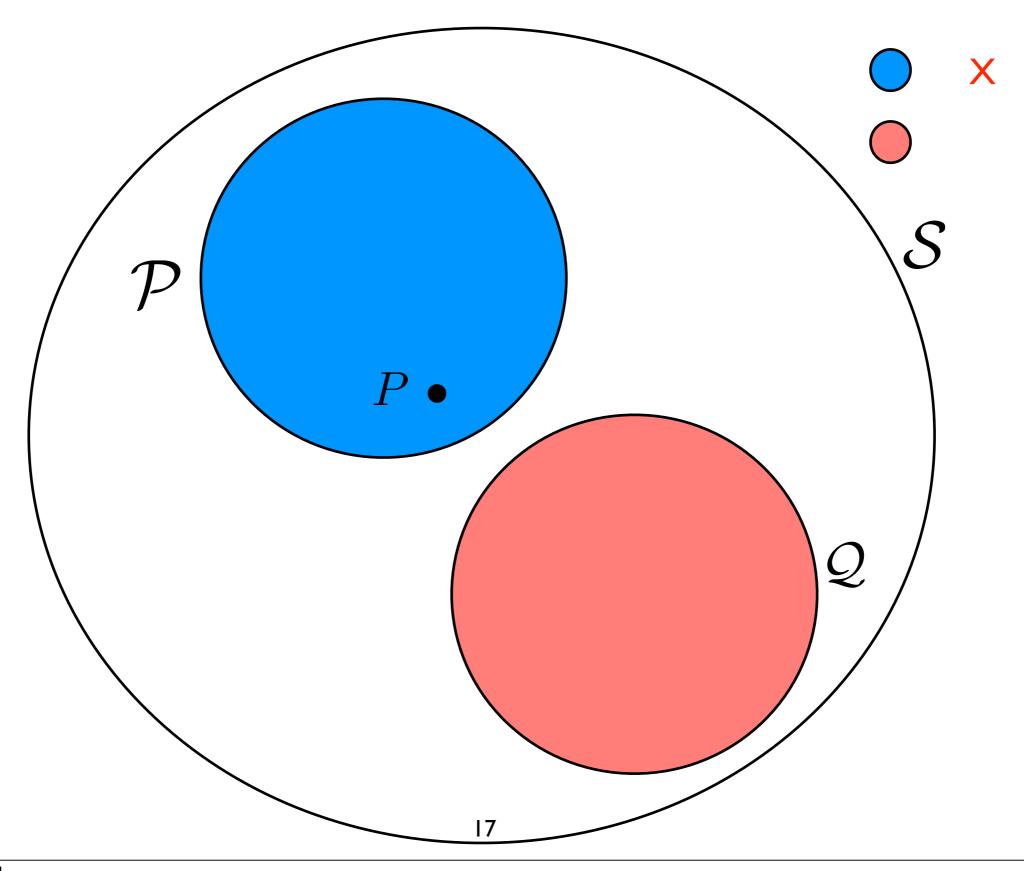


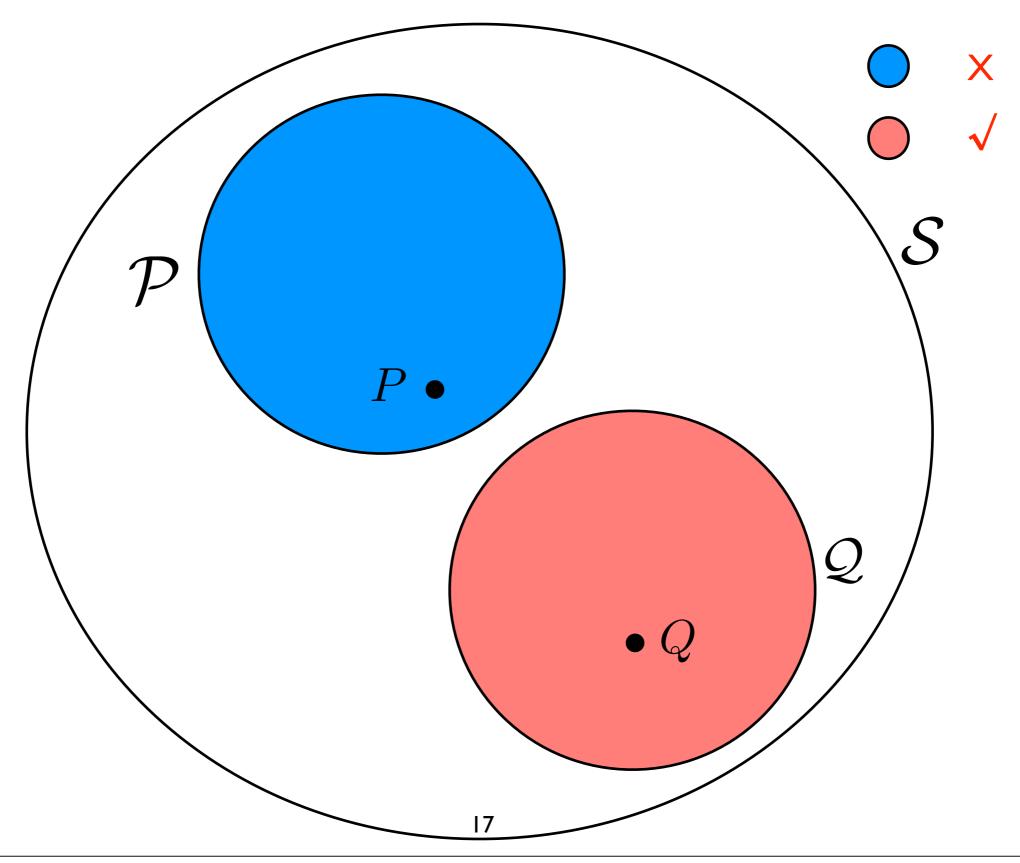
- Variational Approximation Framework
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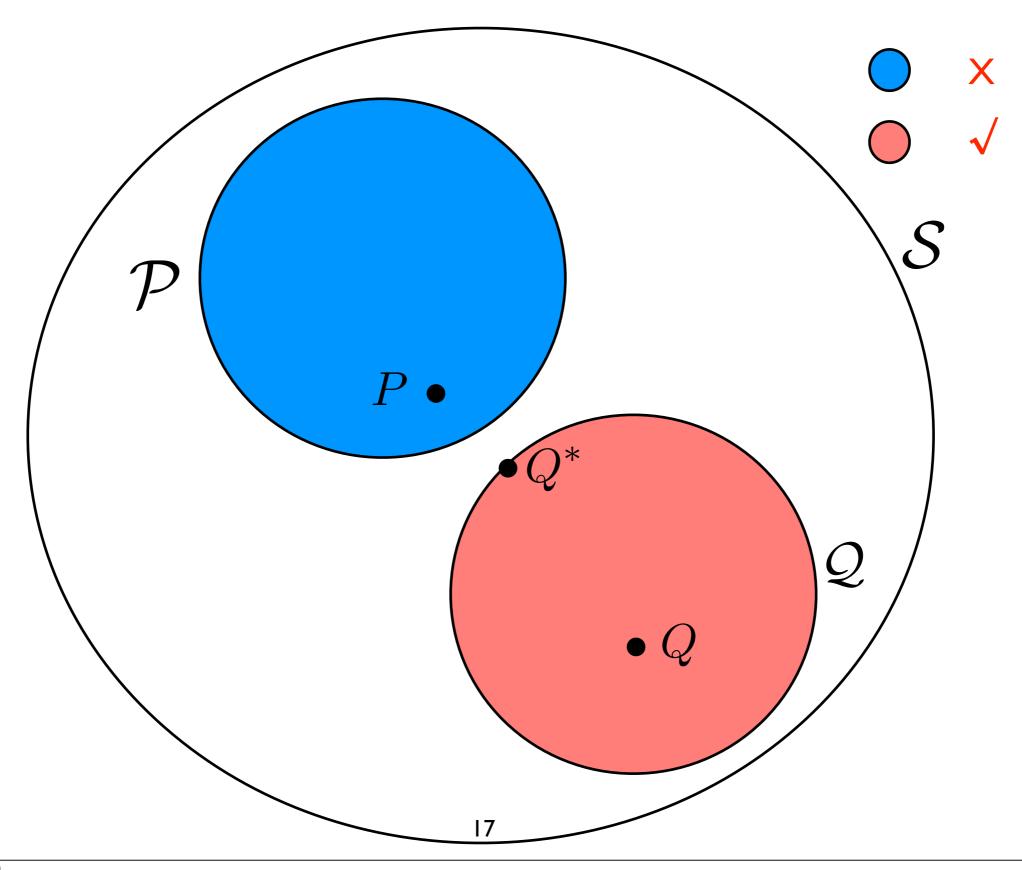


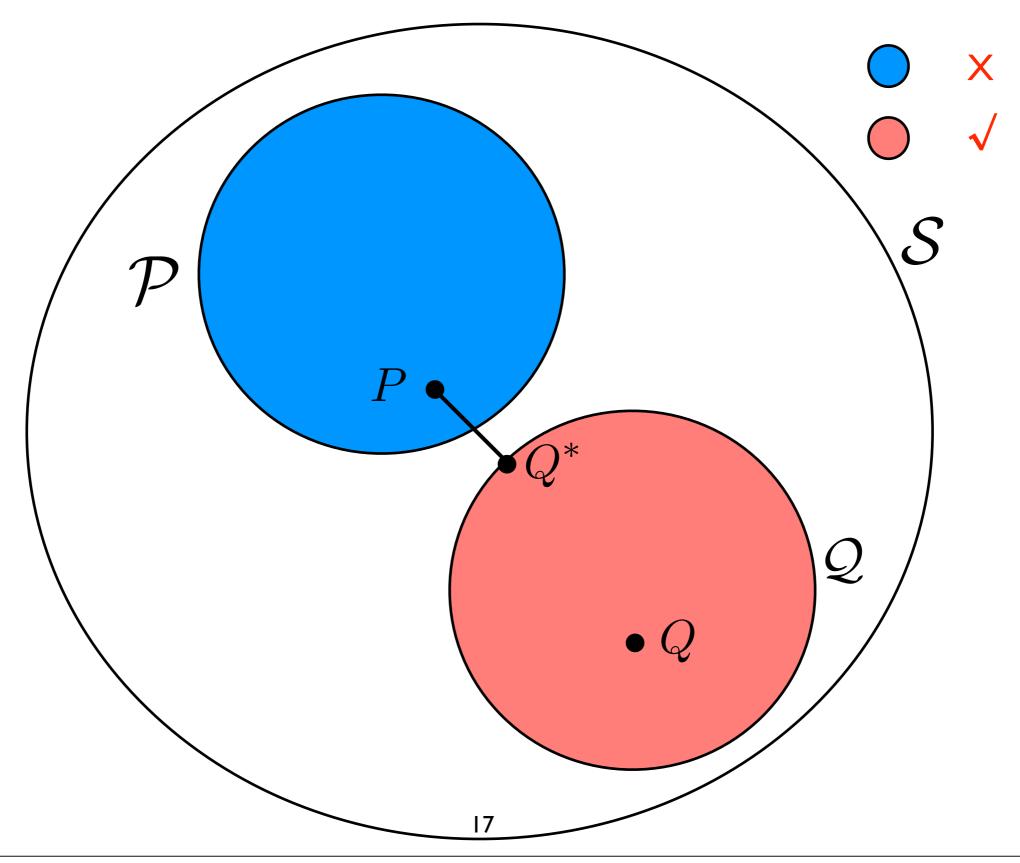


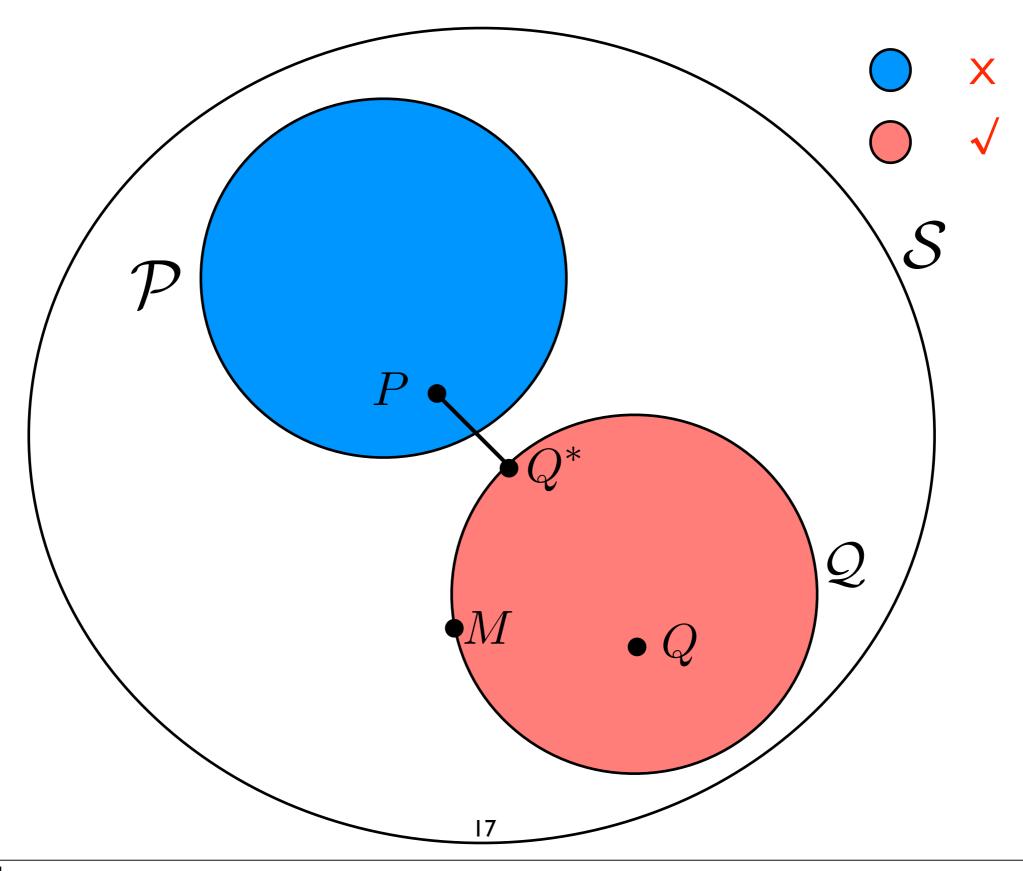


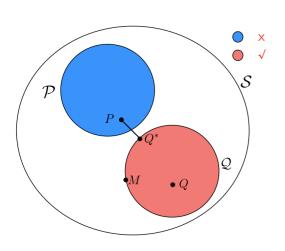


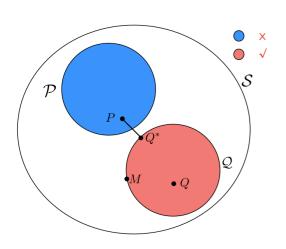






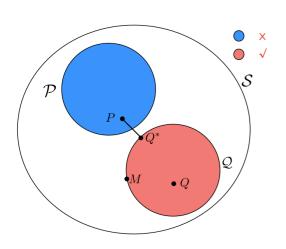






- Given a long-span model P, we want to do speech recognition.

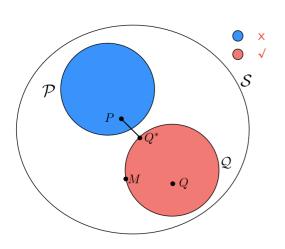
P = Structured LM, Recurrent NN, Random Forest etc ..



- Given a long-span model P, we want to do speech recognition.

P = Structured LM, Recurrent NN, Random Forest etc ..

- Decode with **M** and then do N-best rescoring with **P**
- M and P are far



- Given a long-span model P, we want to do speech recognition.

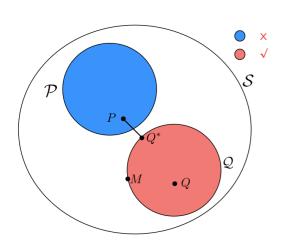
P = Structured LM, Recurrent NN, Random Forest etc ..

- Decode with **M** and then do N-best rescoring with **P** 

- M and P are far

- Find a <u>tractable</u> substitute, Q, and do speech recognition with it.

Q may belong to, say, Finite State Machine family.



- Given a long-span model P, we want to do speech recognition.

P = Structured LM, Recurrent NN, Random Forest etc ..

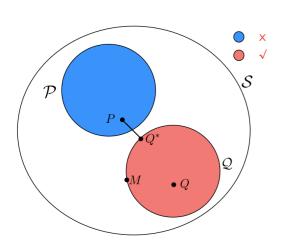
- Decode with **M** and then do N-best rescoring with **P** 

- M and P are far

- Find a <u>tractable</u> substitute, Q, and do speech recognition with it.

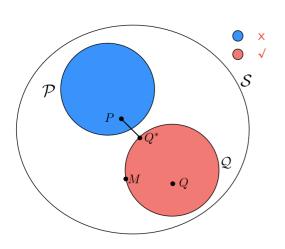
**Q** may belong to, say, Finite State Machine family.

- Decode with Q and then do N-best rescoring with P
- Q and P are close



- Decode with **M** and then do N-best rescoring with **P** 

- Decode with Q and then do N-best rescoring with P



- Decode with **M** and then do N-best rescoring with **P** 

0001. I am not the Oracle

0002. I am not the Oracle

0003. I am not the Oracle

•••••

0098. I am not the Oracle

0099. I am not the Oracle

0100. I am not the Oracle

1996. I am not the Oracle

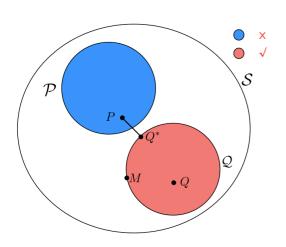
1997. I am not the Oracle

1998. I am not the Oracle

1999. I am not the Oracle

2000. I am the Oracle

- Decode with Q and then do N-best rescoring with P



- Decode with **M** and then do N-best rescoring with **P** 

0001. I am not the Oracle

0002. I am not the Oracle

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0098. I am not the Oracle

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•••••

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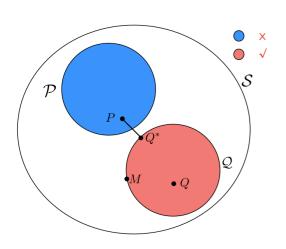
0003. I am not the Oracle

•••••

0098. I am not the Oracle

0099. I am not the Oracle

0100. I am the Oracle



- Decode with **M** and then do N-best rescoring with **P** 

0001.1 am not the Oracle

0002. I am not the Oracle

0003. I am not the Oracle

•••••

0098. I am not the Oracle

0099. I am not the Oracle

0100.1 am not the Oracle

•••••

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1997. I am not the Oracle

1998. I am not the Oracle

1999. I am not the Oracle

2000. I am the Oracle

- Decode with Q and then do N-best rescoring with P

0001. I am not the Oracle

0002. I am not the Oracle

0003. I am not the Oracle

•••••

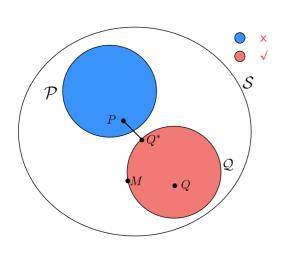
0098. I am not the Oracle

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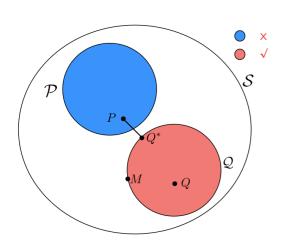
0100. I am the Oracle

**Save Effort** 



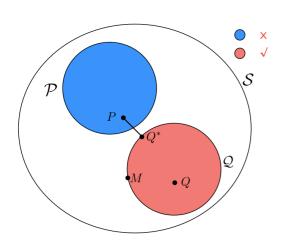


$$Q^* = \arg\min_{Q \in \mathcal{Q}} \mathcal{D}(P||Q)$$



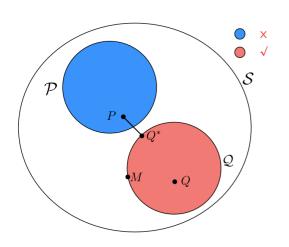
$$Q^* = \arg\min_{Q \in \mathcal{Q}} \mathcal{D}(P||Q)$$

- Restrict the family to n-grams and find a solution in this family.



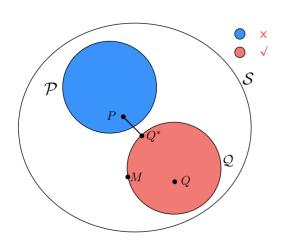
$$Q^* = \arg\min_{Q \in \mathcal{Q}} \mathcal{D}(P||Q)$$

- Restrict the family to n-grams and find a solution in this family.
- Under some mild conditions, the solution is the **marginalized** version of the long span model.



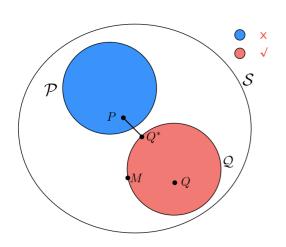
$$Q^* = \arg\min_{Q \in \mathcal{Q}} \mathcal{D}(P||Q)$$

- Restrict the family to n-grams and find a solution in this family.
- Under some mild conditions, the solution is the **marginalized** version of the long span model.
- Marginalization is extremely difficult for long context models.



$$Q^* = \arg\min_{Q \in \mathcal{Q}} \mathcal{D}(P||Q)$$

- Restrict the family to n-grams and find a solution in this family.
- Under some mild conditions, the solution is the **marginalized** version of the long span model.
- Marginalization is extremely difficult for long context models.
- We obtain solution via **sampling** techniques.

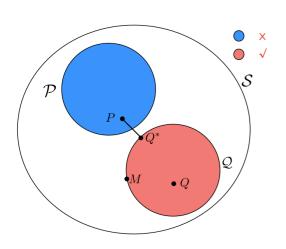


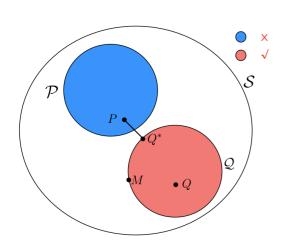
$$Q^* = \arg\min_{Q \in \mathcal{Q}} D(P||Q)$$

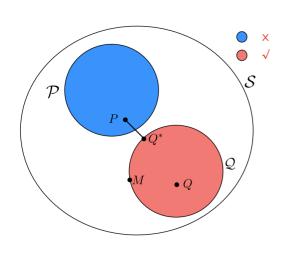
$$= \arg\min_{Q \in \mathcal{Q}} \sum_{x \in \mathcal{X}} P(x) \log \frac{P(x)}{Q(x)}$$

$$= \arg\max_{Q \in \mathcal{Q}} \sum_{x \in \mathcal{X}} P(x) \log Q(x)$$

#### **ML** Solution

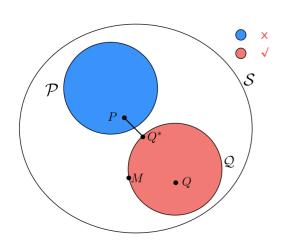




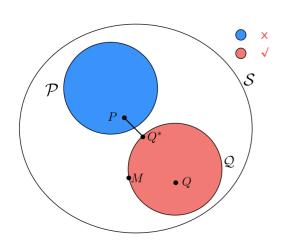


#### **Recipe:**

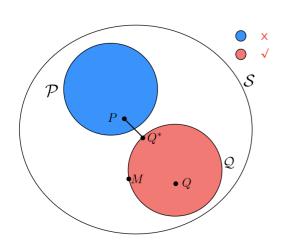
I. Get a sophisticated long-span model, P



- I. Get a sophisticated long-span model, P
- 2. Decide on n-gram family.



- I. Get a sophisticated long-span model, P
- 2. Decide on n-gram family.
- 3. "Synthesize" a huge corpus using P



- I. Get a sophisticated long-span model, P
- 2. Decide on n-gram family.
- 3. "Synthesize" a huge corpus using P
- 4. Estimate **Q** using Maximum Likelihood.
  - **Q** belongs to n-gram family of distributions.

$$\lim_{n \to \infty} \lim_{L \to \infty} KL(P||Q^*) = 0$$



Introduction and Motivation



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### **Experiments and Results**

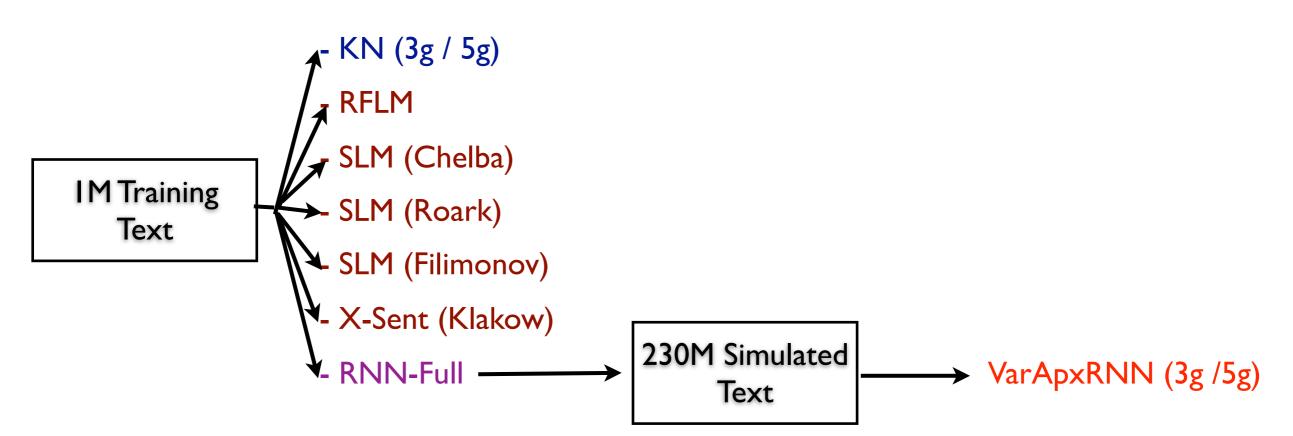
**I. Perplexity Experiments** 

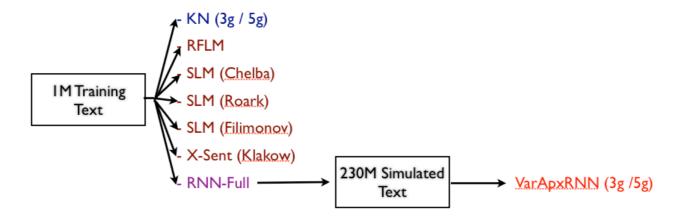
Penn Tree Bank Corpus (sections 00-24)

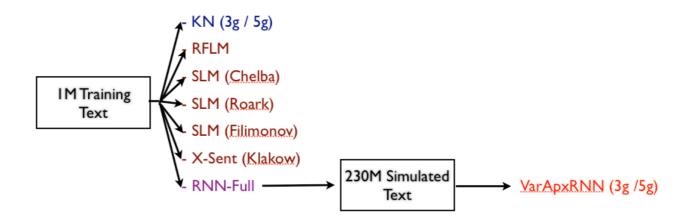
IM word token for training

**0.2M** word token for testing

Top **IOK** most frequent words for Vocabulary







Setup	PPL	Setup	PPL
KN (3g)	148	Random Forest (Xu)	132
VarApxRNN (3g)	152	-	-
VarApx+KN (3g)	124	-	-



Setup	PPL	Setup	PPL
KN (3g)	148	Random Forest (Xu)	132
VarApxRNN (3g)	152	-	-
VarApx+KN (3g)	124	-	-
KN (5g)	141	SLM (Chelba)	149
VarApxRNN (5g)	140	SLM (Roark)	137
VarApx+KN (5g)	120	SLM (Filimonov)	125

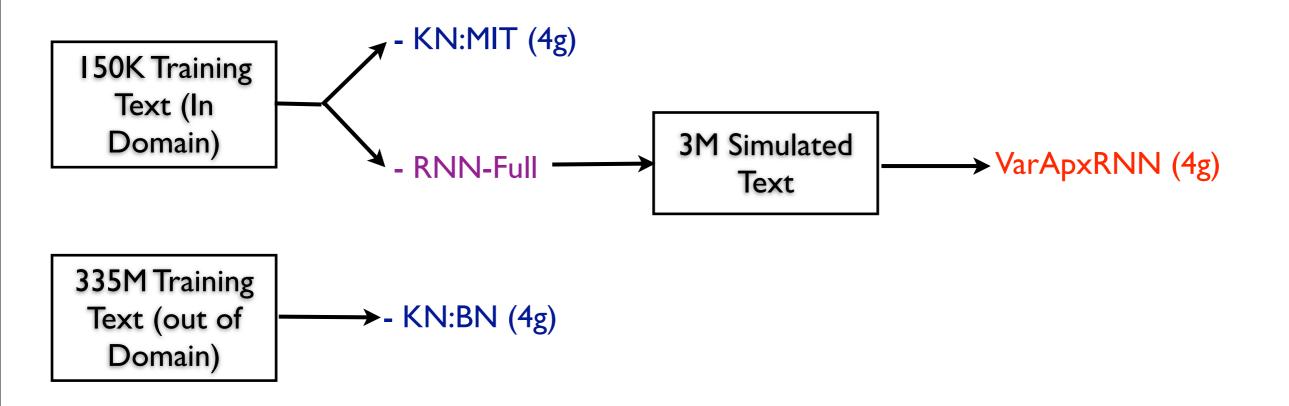


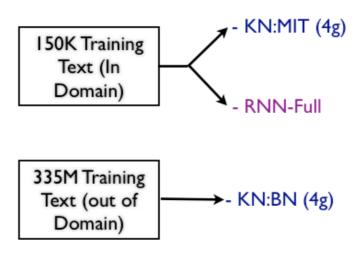
Setup	PPL	Setup	PPL
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VarApxRNN (5g)	140	SLM (Roark)	137
VarApx+KN (5g)	120	SLM (Filimonov)	125
VarApx+KN + Cache	111	X-Sent (Momtazi)	118
RNN-Full	102	-	-

In Domain: MIT Lectures

Out of Domain: BN

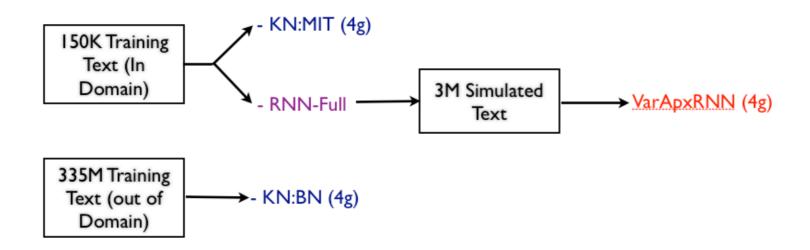
2. ASR: Adaptation Experiments





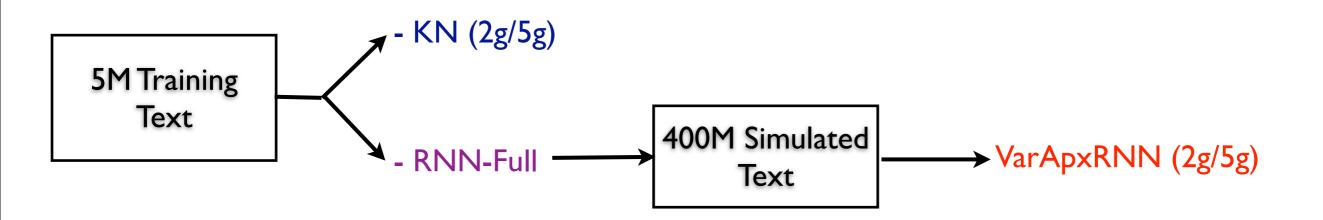
Setup	Set 1	Set 2
KN:MIT+BN (4g) decoding	24.7	22.4
+ RNN-Full rescoring (100 best)	24.1	22.4
+ RNN-Full rescoring (2000 best)	23.8	21.6
Oracle (2000 best)	17.9	15.5

**Baseline** 

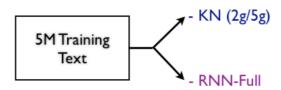


Setup	Set 1	Set 2	
KN:MIT+BN (4g) decoding	24.7	22.4	Pacalina
+ RNN-Full rescoring (100 best)	24.1	22.4	Baseline
+ RNN-Full rescoring (2000 best)	23.8	21.6	
Oracle (2000 best)	17.9	15.5	
VarApx+KN (4g) decoding	24.3	22.2	<b>D</b>
+ RNN-Full rescoring (100 best)	23.8	21.7	Proposed
+ RNN-Full rescoring (2000 best)	23.6	21.5	
Oracle (2000 best)	17.5	15.1	

#### 2. ASR: CTS and Meeting Recognition



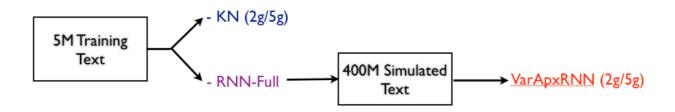
#### 2. ASR: CTS and Meeting Recognition



Setup	eval01	rt07s
GT (2g) Decoding	30.3	33.7
+ KN (5g) Lattice Rescoring	28.0	32.4
+ RNN-Full rescoring (100 best)	27.1	30.8
+ RNN-Full rescoring (1000 best)	26.5	30.5
Oracle (1000 best)	19.5	21.3

**Baseline** 

#### 2. ASR: CTS and Meeting Recognition



Setup	eval01	rt07s	•
GT (2g) Decoding	30.3	33.7	•
+ KN (5g) Lattice Rescoring	28.0	32.4	Danalina
+ RNN-Full rescoring (100 best)	27.1	30.8	Baseline
+ RNN-Full rescoring (1000 best)	26.5	30.5	
Oracle (1000 best)	19.5	21.3	•
VarApx+GT (2g) Decoding	30.1	33.3	
+ VarApx+KN (5g) Lattice Rescoring	27.2	31.7	<b>Proposed</b>
+ RNN-Full rescoring (100 best)	27.0	30.6	··oposed
+ RNN-Full rescoring (1000 best)	26.5	30.4	
Oracle (1000 best)	19.5	21.0	



Introduction and Motivation



#### Variational Approximations

- RNN: Long-Span Models
- Variational Approximation Framework  $\sqrt{\phantom{a}}$
- Experiments and Discussions ✓
- Conclusion

#### **Conclusion and Future Work**

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I. *n*-gram approximation of long-span LMs yield greater accuracy and allow their easy integration into decoders.

#### **Conclusion and Future Work**

I. *n*-gram approximation of long-span LMs yield greater accuracy and allow their easy integration into decoders.

2. RNN LM improves significantly over n-grams with increasing data (forthcoming work), calling for an investigation of more powerful tractable approximations.

Thank you

**Questions?** 

### Bigger Training data experiment

Setup	WER(rt04)	PPL(rt04)
KN:BN Decoding (4g)	14.10	172.3
VarApx+KN Decoding (4g)	13.5	159.6
RNN-Full	12.1	111

Original Training data is 400M word tokens (Broadcast News).

Sampled about **IB** word tokens.

Pruned the variational model so as to be comparable and usable in decoders.