

# Design of robust steerable broadband beamformers incorporating microphone gain and phase error characteristics

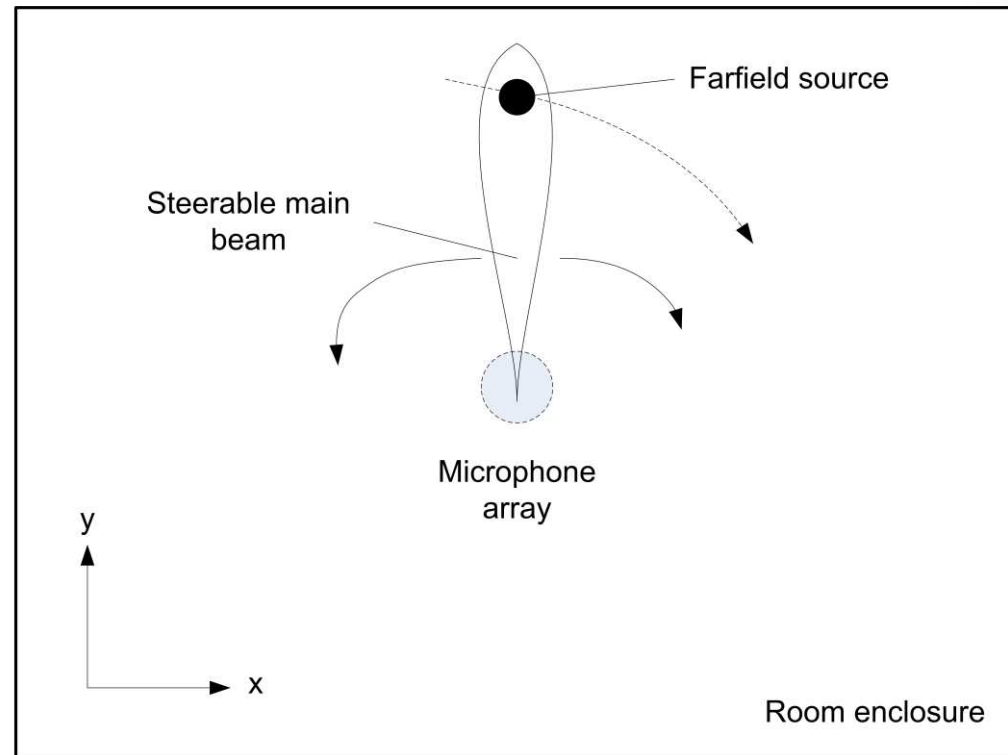
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# Outline

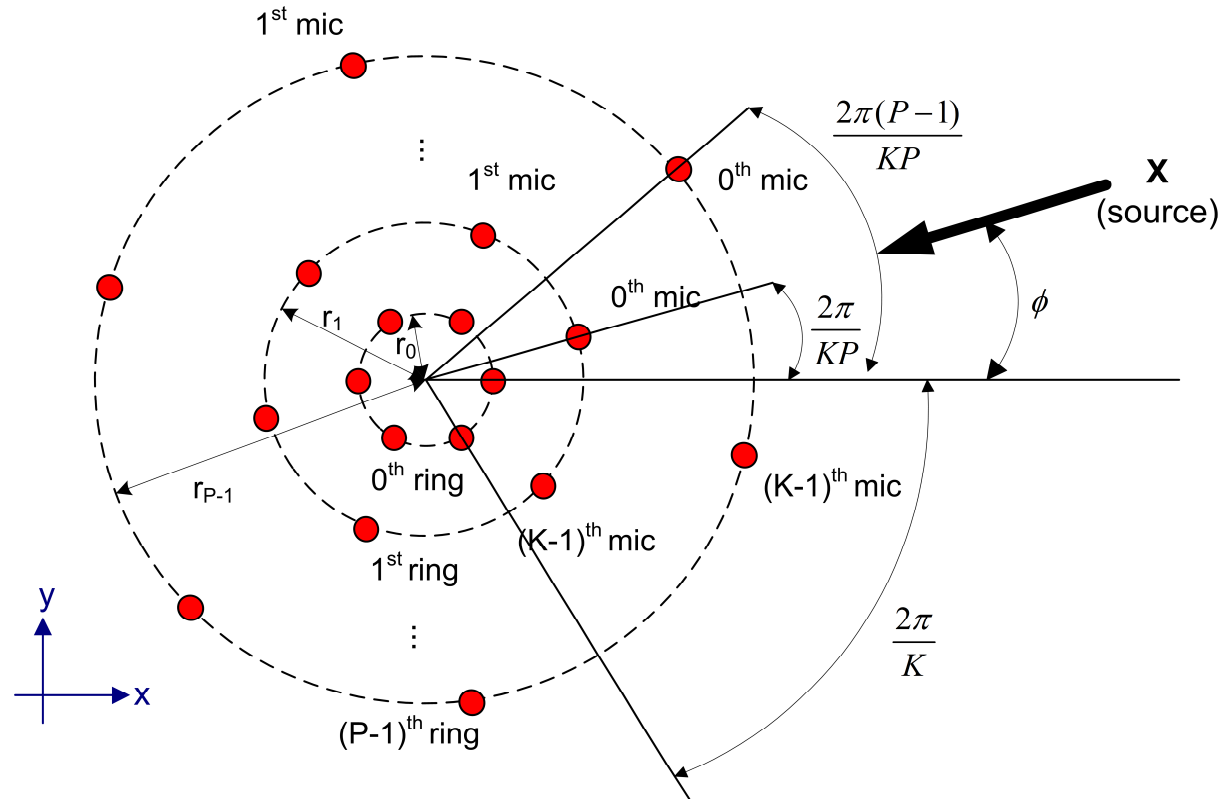
- Problem statement
- Array geometry
- Steerable broadband beamformer (SBBF) structure
- Robust design formulation
- Design example and results
- Conclusion

# Problem statement

- In speech acquisition applications (e.g. tele-conferencing, audio surveillance) it is desired to have:
  - a) Steerable beamformer
  - b) Frequency invariant property (broadband)
  - c) Robust to microphone errors and deviations
- Discussion in farfield model in azimuth plane



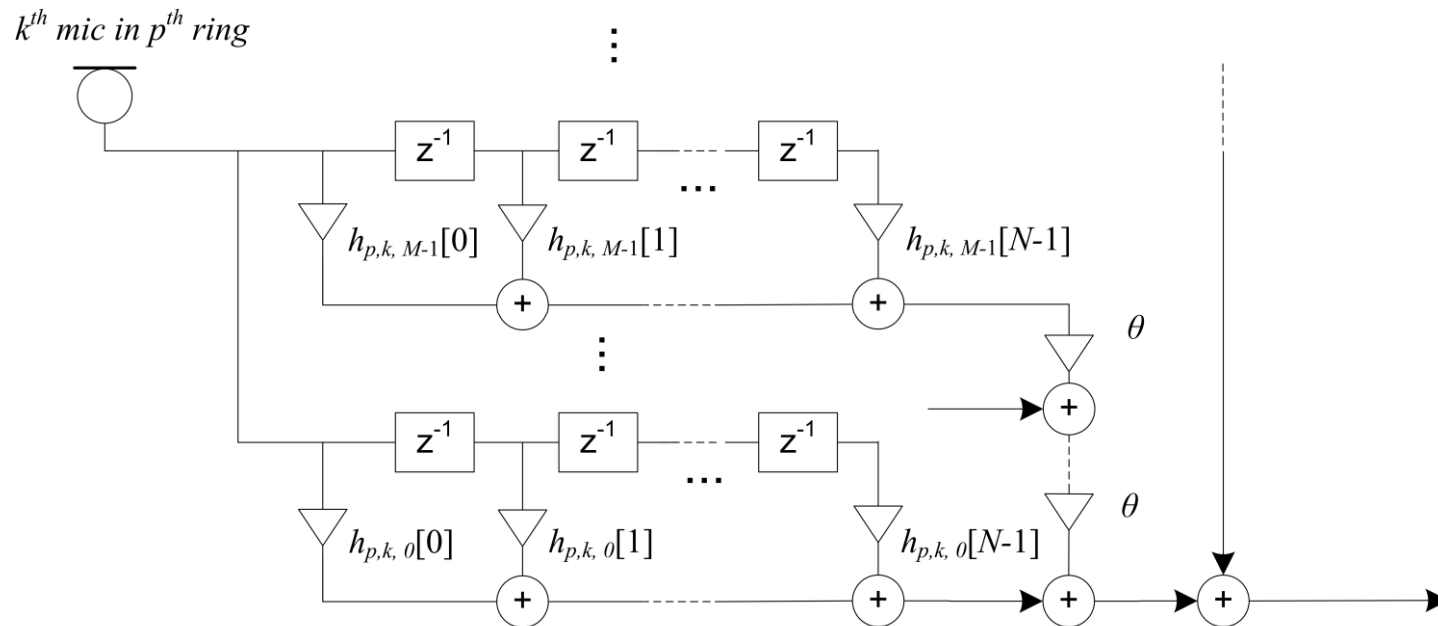
# Array geometry



- Multi-ring, con-centric, circular symmetry
- Element response (farfield and free-field)

$$d_{p,k}(\omega, \phi) = \exp\left(j \frac{\omega r_p}{c} \cos\left(\phi - \frac{2\pi k}{K} - \frac{2\pi p}{KP}\right)\right)$$

# SBBF structure



- Using Farrow structures at each microphone
- Steering variable:  $\theta = \psi / (2\psi_{\max})$
- Nominal Beampattern:

$$G(\psi, \omega, \phi) = \sum_p \sum_k \sum_m \sum_n h_{p,k,m}[n] d_{p,k}(\omega, \phi) \left( \psi / (2\psi_{\max}) \right)^m \exp(-j\omega n)$$

$$= \mathbf{a}^H(\psi, \omega, \phi) \mathbf{h}$$

where  $\mathbf{a}(\psi, \omega, \phi)$  are  $PMN \times 1$  long vector

# Impact on model errors

- In practice deviation from ideal model in microphone elements can occur from:
  - a) microphone mismatch
  - b) non-ideal characteristics of microphone
  - c) microphone position error
  - d) local scattering effects

- Results in perturbed element response

$$\hat{d}_{p,k}(\omega, \phi) = \kappa_{p,k}(\omega, \phi) \exp(j\gamma_{p,k}(\omega, \phi)) d_{p,k}(\omega, \phi)$$

- The beampattern with perturbed element response is


$$\hat{G}(\psi, \omega, \phi) = \hat{\mathbf{a}}^H(\psi, \omega, \phi) \mathbf{h}$$

# Robust design

- Robust design based on mean of deviation, i.e.

$$E\{\kappa_k(\omega, \phi) \exp(j\gamma_k(\omega, \phi))\}$$

- Least squares cost function

$$\begin{aligned} J &= \int_{\Psi} \int_{\Omega} \int_{\Phi} |\hat{G}(\psi, \omega, \phi) - H_d(\psi, \omega, \phi)|^2 d\phi d\omega d\psi \\ &= \mathbf{h}^H \mathbf{Q} \mathbf{h} - 2 \operatorname{Re}\{\mathbf{b}^H \mathbf{h}\} + d \end{aligned}$$


where

$$\begin{aligned} \mathbf{Q} &= \int_{\Psi} \int_{\Omega} \int_{\Phi} \bar{\mathbf{Q}}_{\delta}(\psi, \omega, \phi) \mathbf{Q}_o(\psi, \omega, \phi) d\phi d\omega d\psi \\ \mathbf{b} &= \int_{\Psi} \int_{\Omega} \int_{\Phi} \bar{b}_{\delta}(\psi, \omega, \phi) \mathbf{b}_o(\psi, \omega, \phi) d\phi d\omega d\psi \\ d &= \int_{\Psi} \int_{\Omega} \int_{\Phi} |H_d(\psi, \omega, \phi)|^2 d\phi d\omega d\psi \end{aligned}$$

- The mean error terms are embedded in  $\mathbf{Q}$  and  $\mathbf{b}$

# Design example

- LS formulation:  $\min J = \mathbf{h}^H \mathbf{Q} \mathbf{h} - 2 \operatorname{Re}\{\mathbf{b}^H \mathbf{h}\} + d$
- Specification:

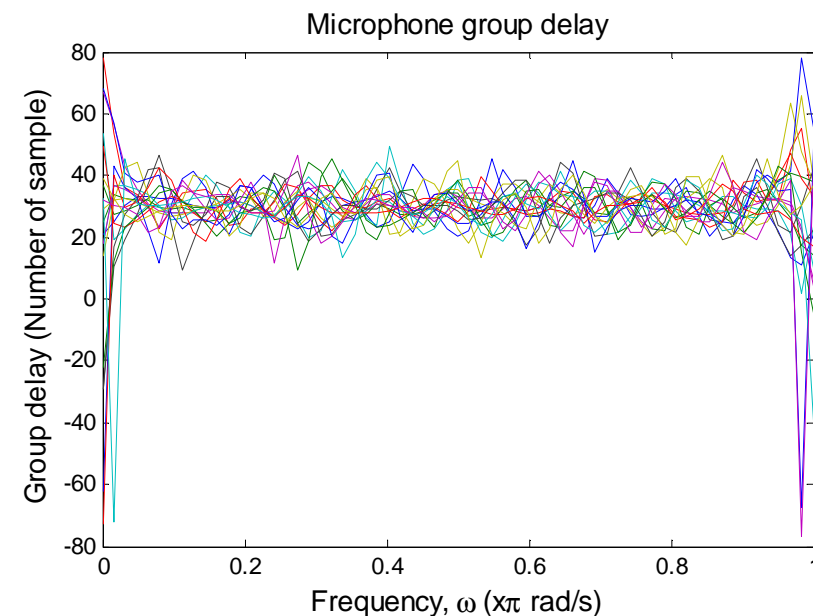
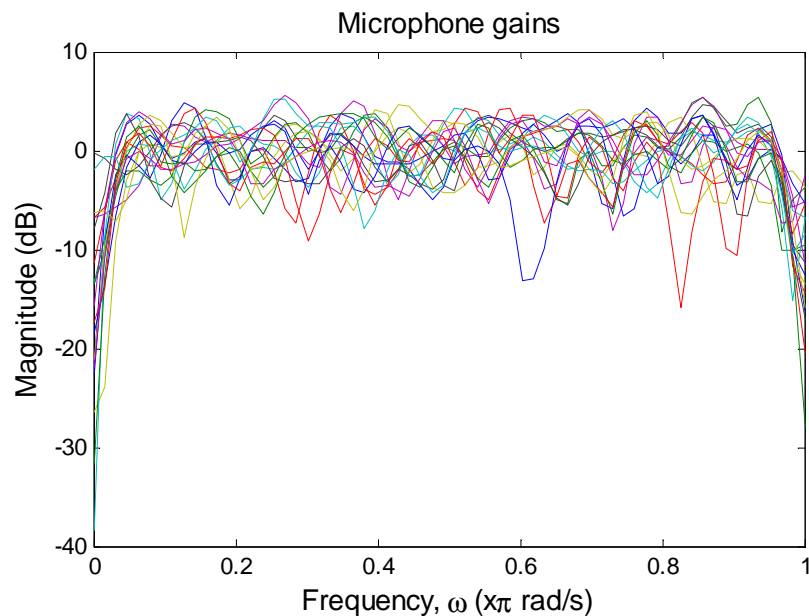
Parameters	Values
Steering range	From $-36^\circ$ to $36^\circ$
Spectral passband	From 200 to 3800 Hz
Gain deviation PDF	Rayleigh( $\sigma=1$ )
Phase deviation PDF	Uniform( $-\pi/2, \pi/2$ )
No. of rings, P	4
Ring radii	0.033, 0.089, 0.242, 0.657 m
No. of mic per ring, K	5
Order of Farrow structure, M-1	4
No. of filter tap, N	32



# Model error description

- Mismatch between microphones: Each microphone response is modelled as 50-tap bandpass FIR filter. Then, the filter coefficients are perturbed by a uniform random variables, i.e.

$$\hat{w} \sim w + U(-0.1, 0.1)$$



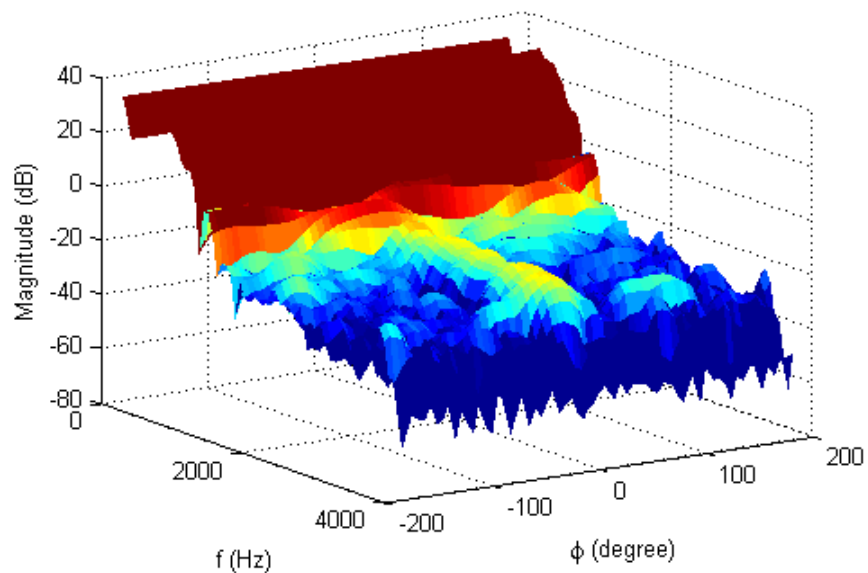
# Model error description

- Deviation from actual array geometry: Microphones' positions are perturbed with zero-mean Gaussian PDF with s.d. of 1cm

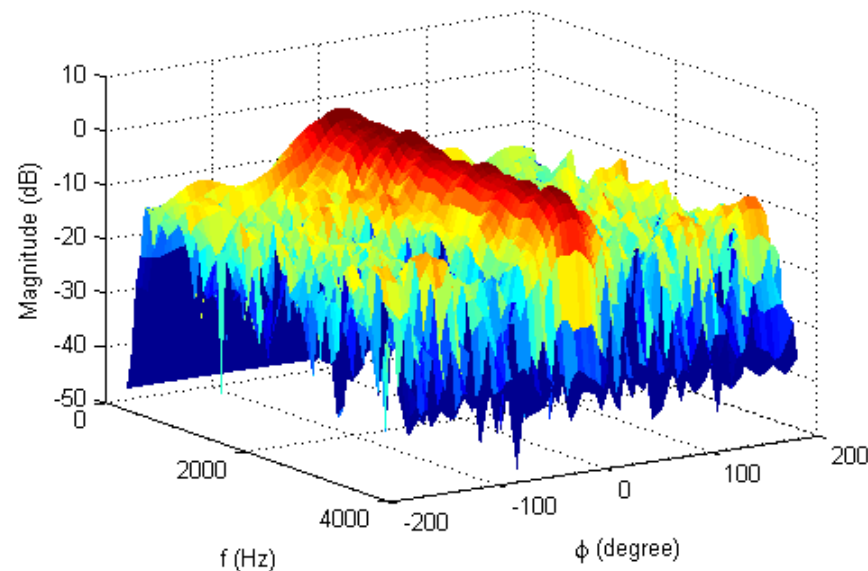
$$[\hat{x}_k, \hat{y}_k] \sim N\left([x_k, y_k], \begin{bmatrix} 0.01^2 & 0 \\ 0 & 0.01^2 \end{bmatrix}\right)$$

# Results (With perturbation)

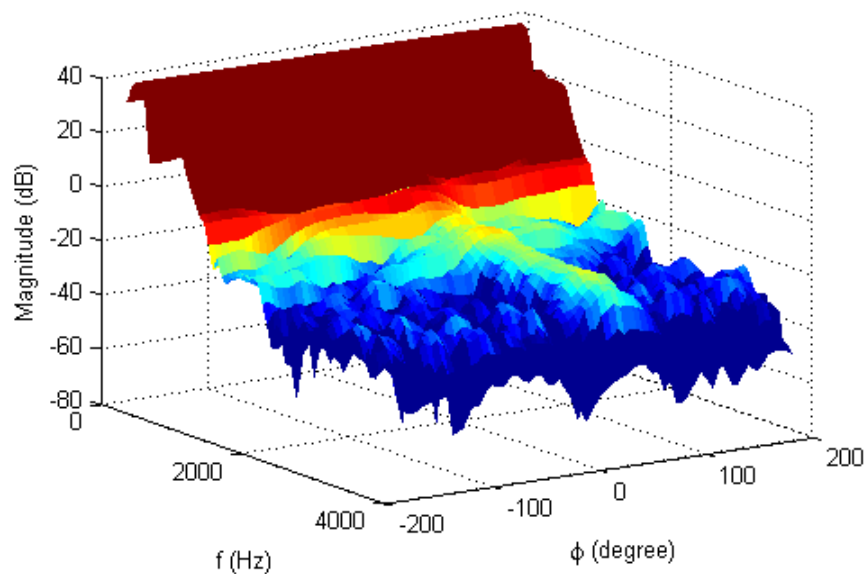
Look direction = -20.0



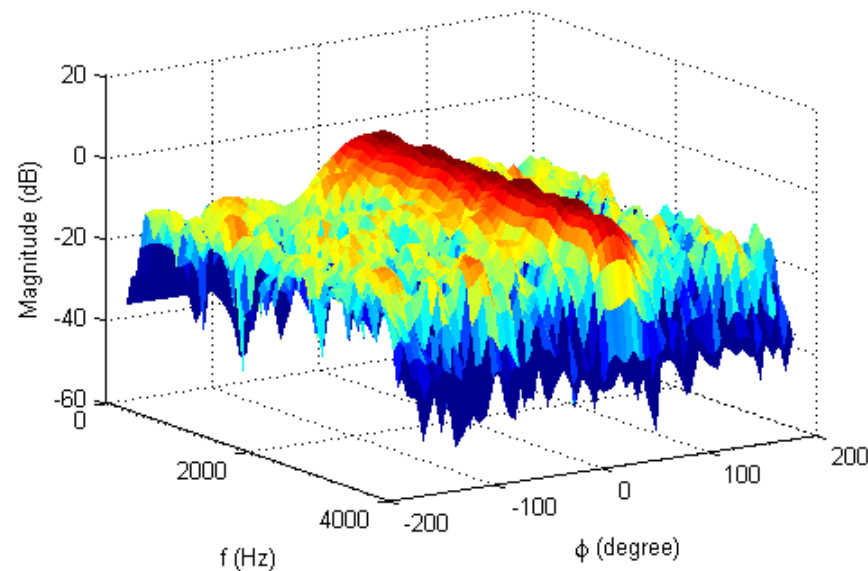
Look direction = -20.0



Look direction = 35.0

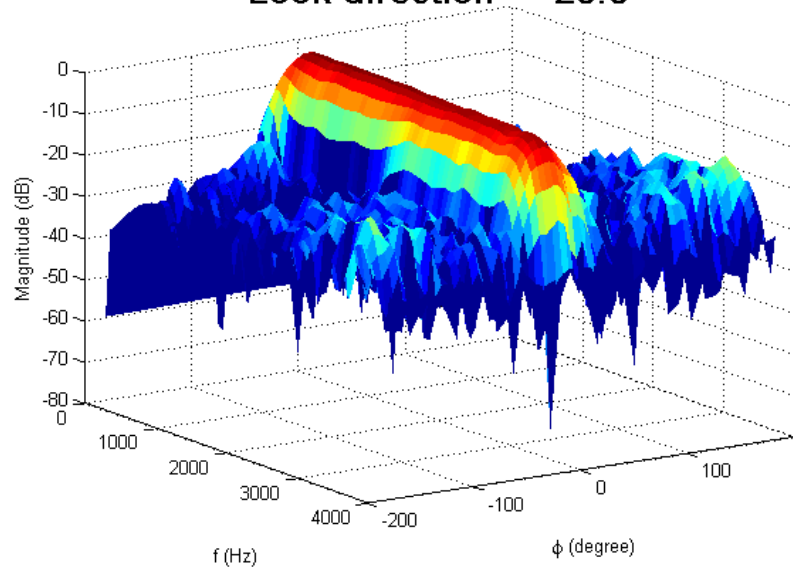


Look direction = 35.0

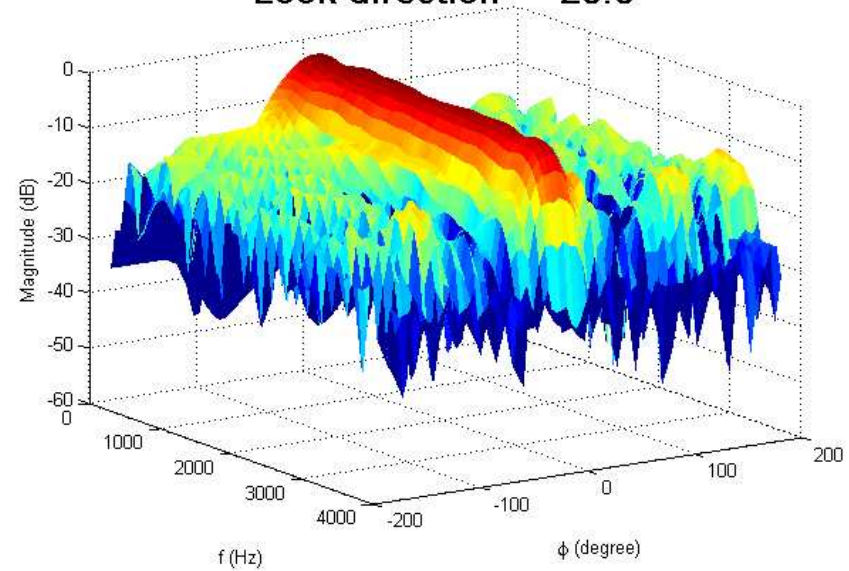


# Results (No perturbation)

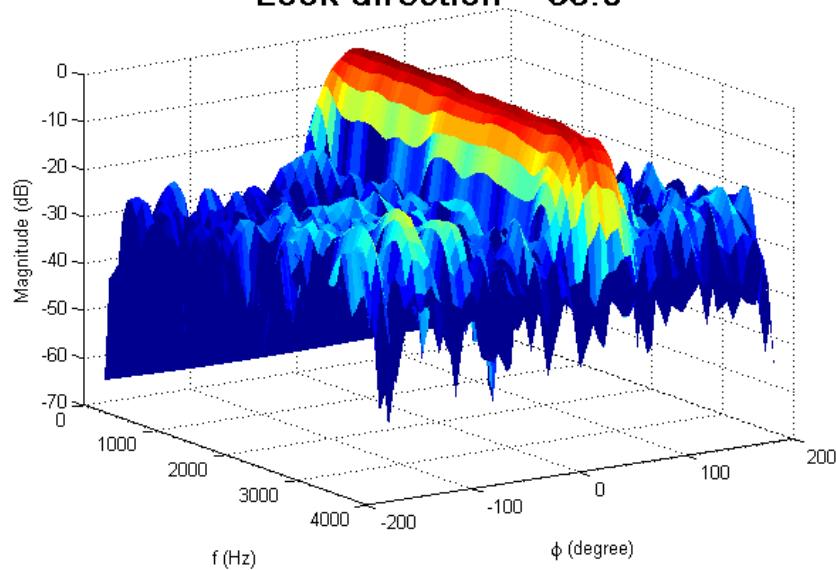
Look direction = -20.0



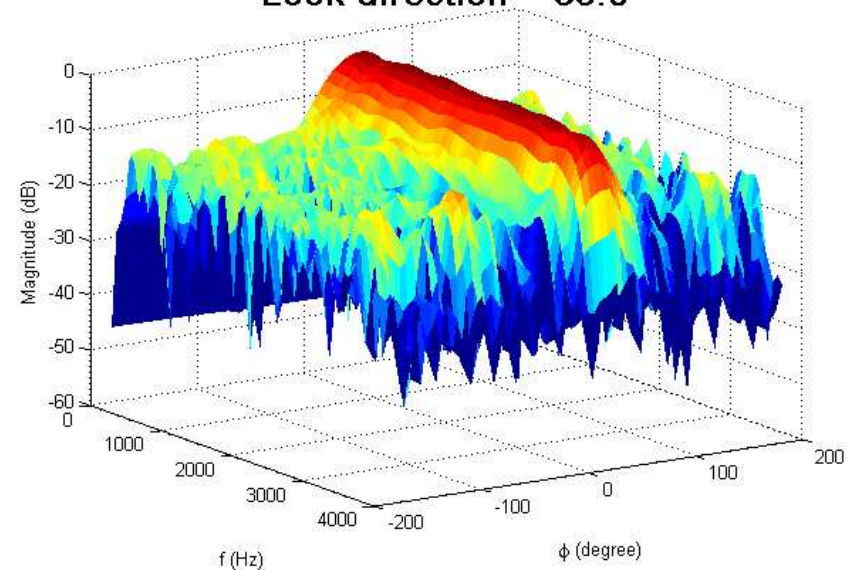
Look direction = -20.0



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Look direction = 35.0

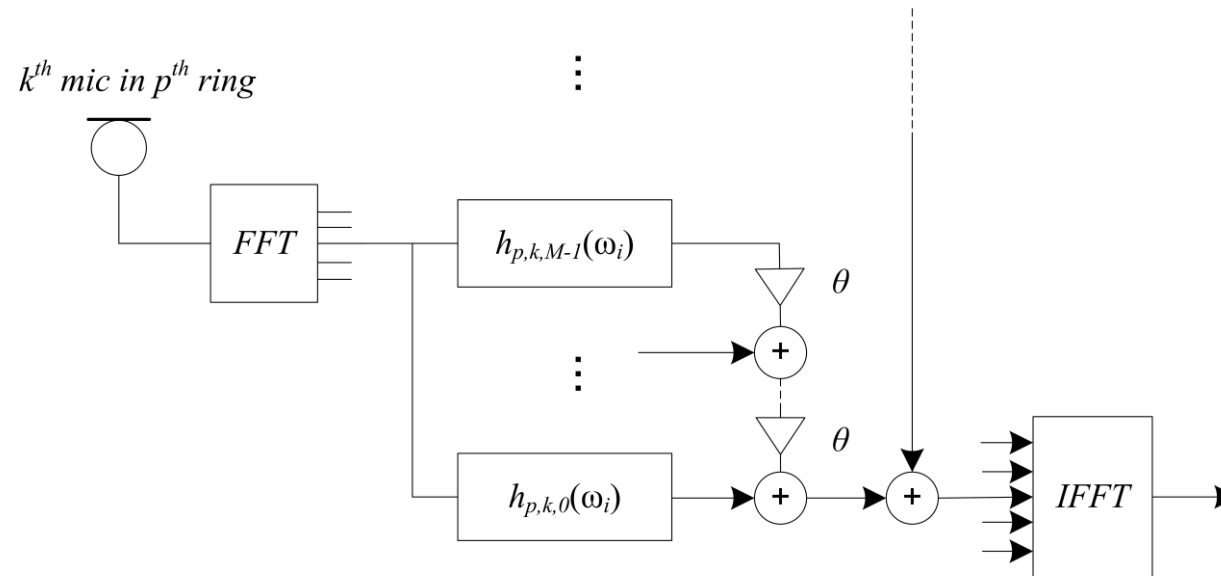


# Conclusion

- We have proposed a robust SBBF design
- Steering capability is achieved by Farrow's filter structure
- Robust formulation using stochastic model and optimise for mean performance
- Design example shows:
  - a) Steering ability (beampattern is maintained)
  - b) Frequency invariant response
  - c) Robustness against perturbation

Thank you

# SBBF structure (FD)



- Beampattern:

$$\begin{aligned}\hat{G}(\psi, \omega, \phi) &= \sum_p \sum_k \sum_m h_{k,m}(\omega) \hat{d}_k(\omega, \phi) \left(\psi / (2\psi_{\max})\right)^m \\ &= \hat{\mathbf{a}}_f^H(\psi, \omega, \phi) \mathbf{h}(\omega)\end{aligned}$$

# Robust design

- If we assume:
  - a) Independence between microphone errors
  - b) Independence between gain and phase errors
  - c) All microphone error has similar model

- The mean error is given by

$$\bar{\mathbf{Q}}_{\delta}(\psi, \omega, \phi) = \left( \mu_{\kappa}^2 \left[ (\mu_{\gamma}^c)^2 + (\mu_{\gamma}^s)^2 \right] (\mathbf{1}_K - \mathbf{I}_K) + \sigma_{\kappa}^2 \mathbf{I}_K \right) \otimes \mathbf{1}_{MN}$$

$$\bar{b}_{\delta}(\psi, \omega, \phi) = \mu_{\kappa} (\mu_{\gamma}^c + j\mu_{\gamma}^s)$$

- Variables  $\mu_{\kappa}, \mu_{\gamma}^c, \mu_{\gamma}^s$  and  $\sigma_{\kappa}$  are found from the expected value of microphone errors  $E \left\{ \kappa_k(\omega, \phi) \exp(j\gamma_k(\omega, \phi)) \right\}$