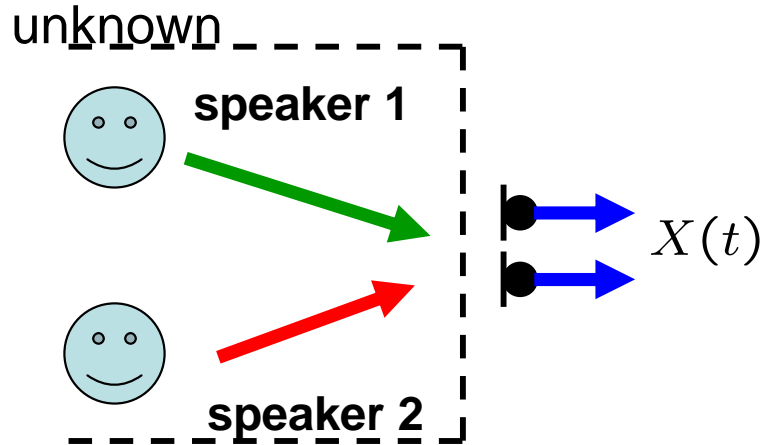


RESOLVING FD-BSS PERMUTATION FOR ARBITRARY ARRAY IN PRESENCE OF SPATIAL ALIASING

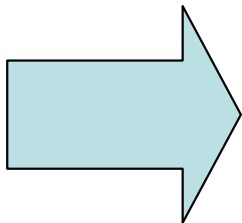
Jani Even, Norihiro Hagita
even@atr.jp

Introduction

Cocktail party (speech/speech)



- **Blind signal separation (BSS)**
- **Frequency domain**
- **Permutation resolution**
- **DOA based approach**
- **Spatial aliasing**



- **Model for the spatial aliasing**
- **Sparse solution**
- **Permutation resolution**

Outline

1. Preliminaries

1. FD-BSS & Permutation
2. Method based on DOA estimation

2. Proposed approach

1. Spatial aliasing equation
2. Finding the solution
3. Permutation resolution

3. Simulation results

Outline

1. Preliminaries

1. FD-BSS & Permutation

2. Method based on DOA estimation

2. Proposed approach

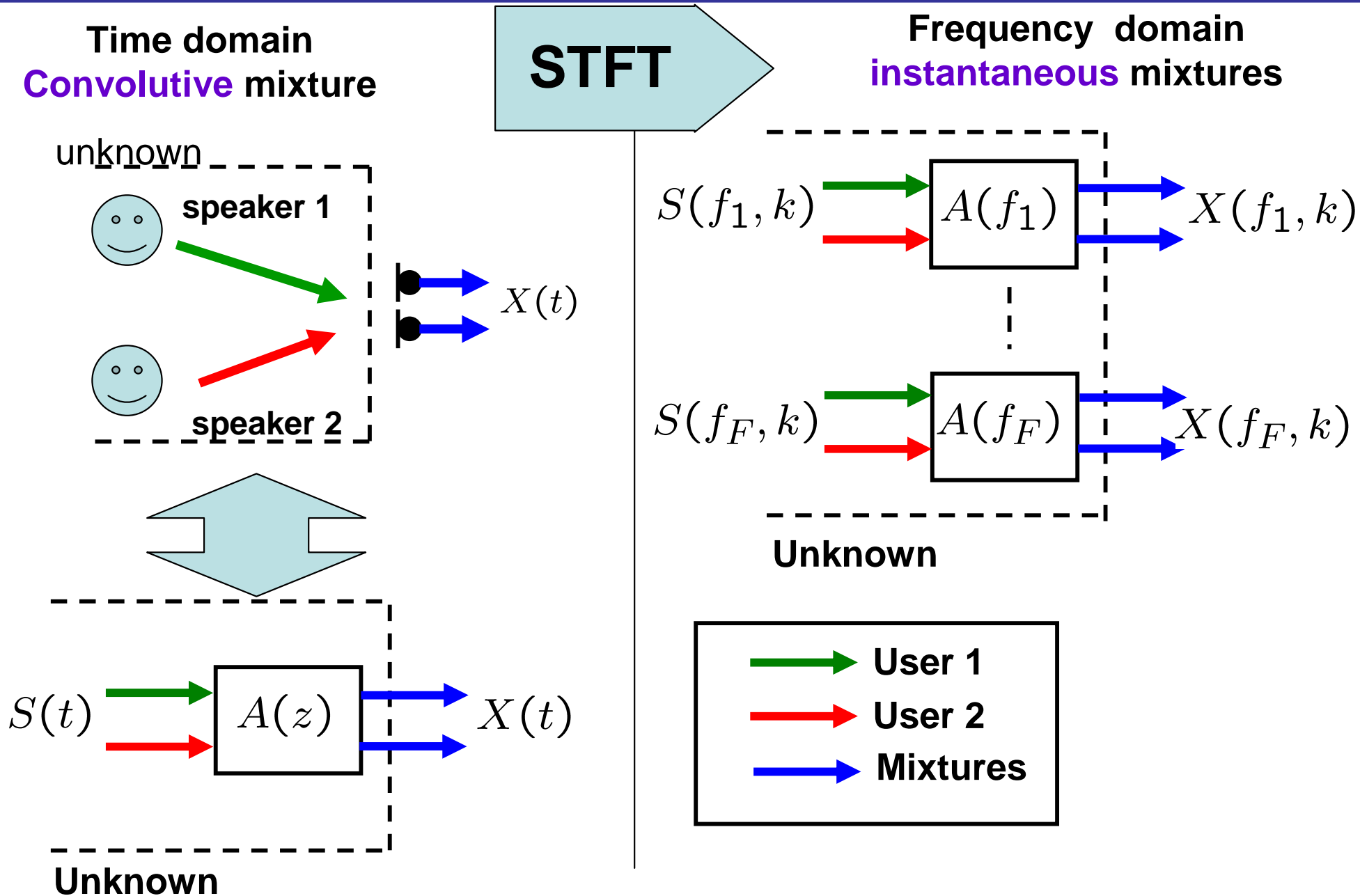
1. Spatial aliasing equation

2. Finding the solution

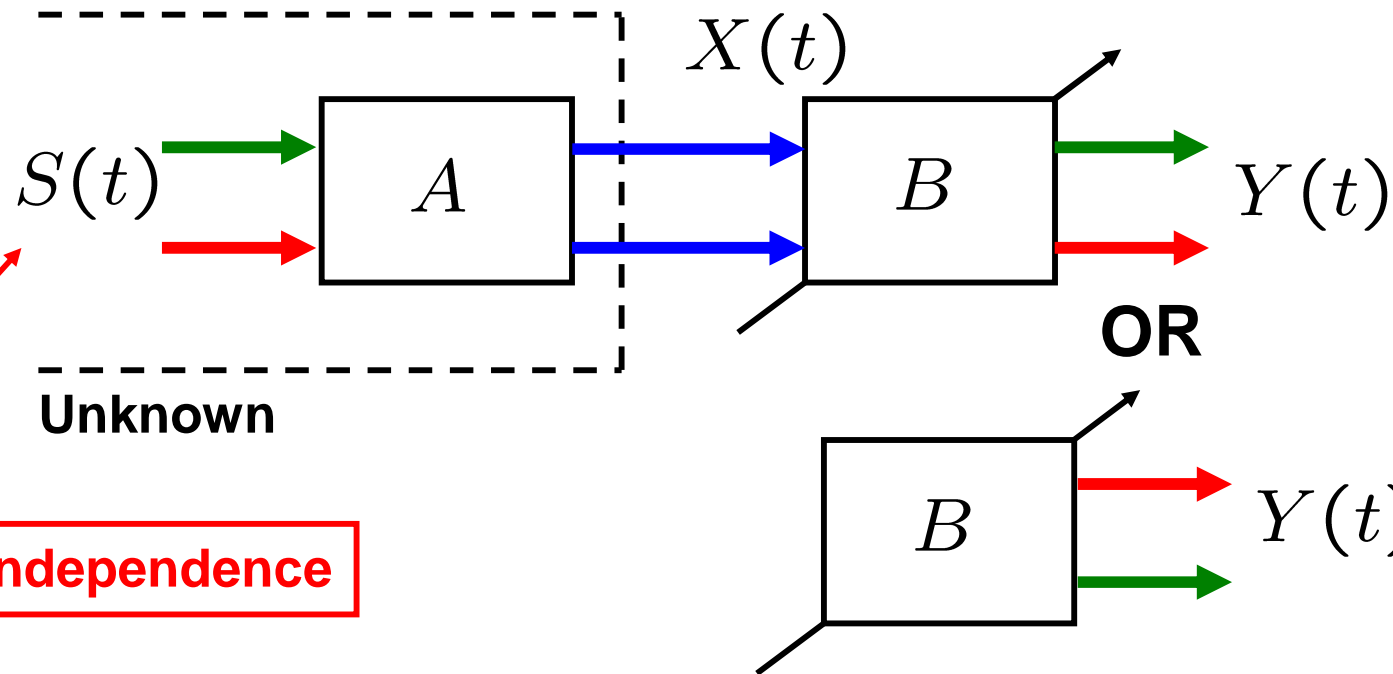
3. Permutation resolution

3. Simulation results

Frequency domain mixture

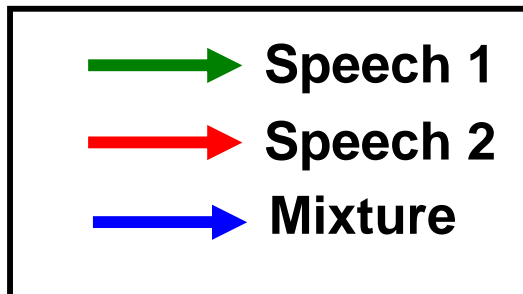


Frequency domain BSS



Statistical independence

(independent component analysis ICA)

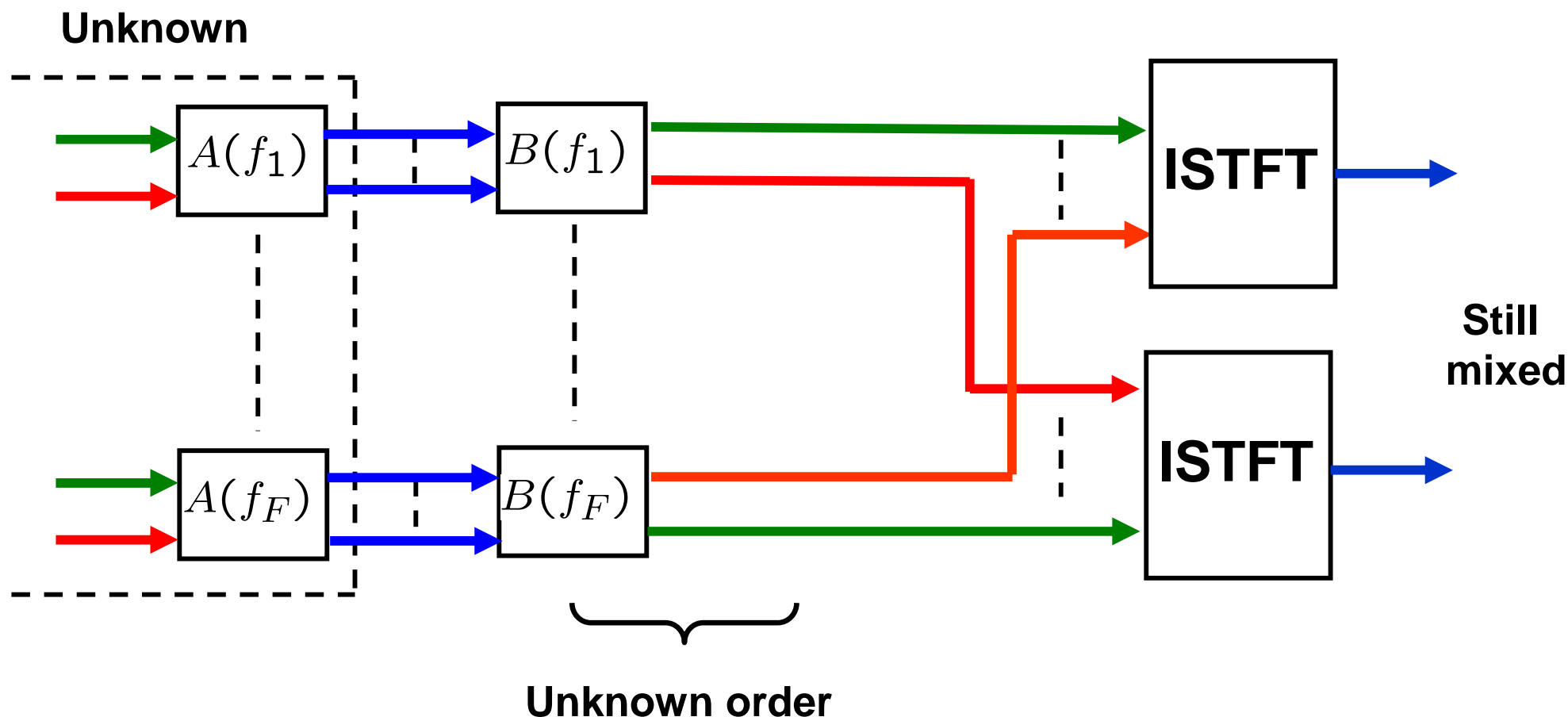


$$Y(t) = P\Lambda S(t)$$

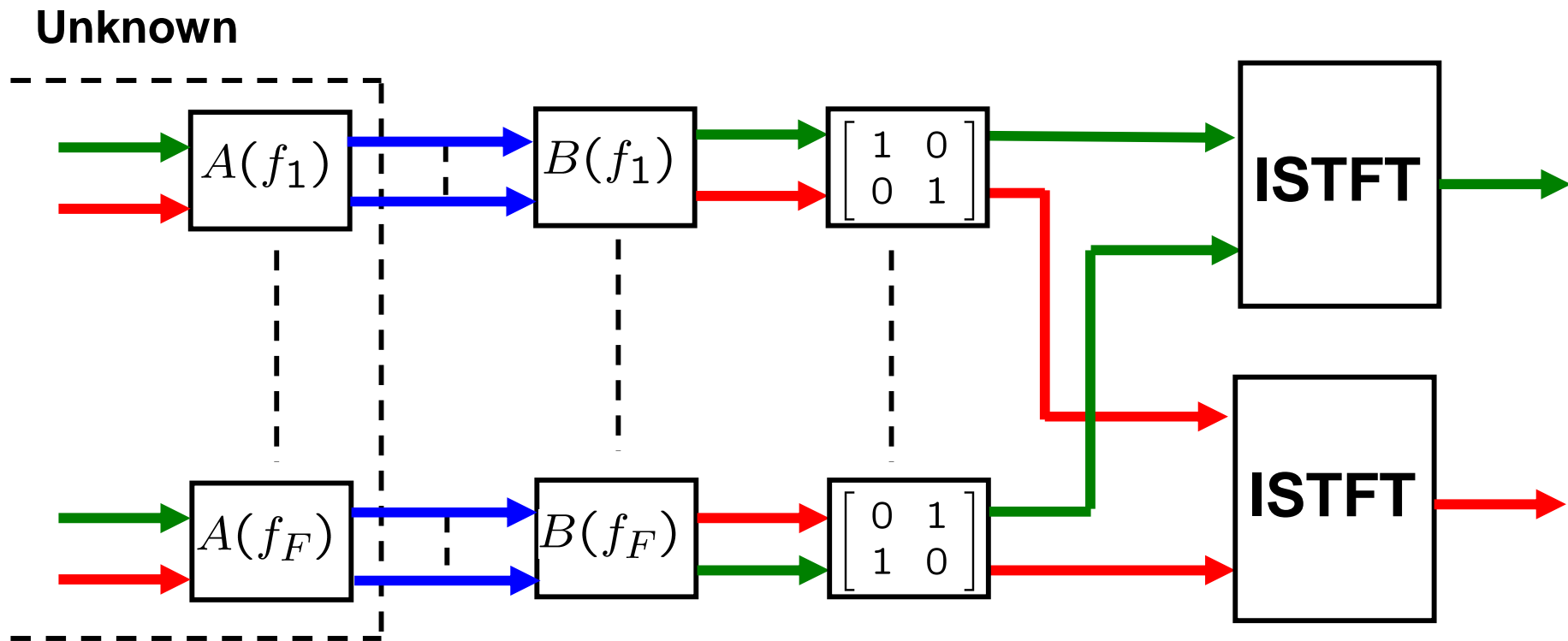
Λ diagonal matrix

P permutation matrix

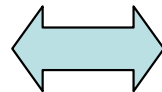
Need for permutation resolution



Set of permutation matrices



Permutation resolution



Determine F permutation matrices

Permutation resolution methods

See review paper [Pedersen, Larsen, Kjems, Parra 2007]

1. **Consistency of the spectrum of the recovered signals**
 1. **Amplitude modulation**
 2. **Cross correlation**
 3. **Source distribution**
 4. **...**
2. **Consistency of the filter coefficients**
 1. **Initialization**
 2. **Smooth spectrum**
 3. **Directivity pattern**
 4. **DOA**

Results in presence of spatial aliasing:

[Morgan, Ikram 2002]

[Sawada, Araki, Mukai, Makino, 2006]

[Nesta, Omologo, 2010]

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2. Method based on DOA estimation

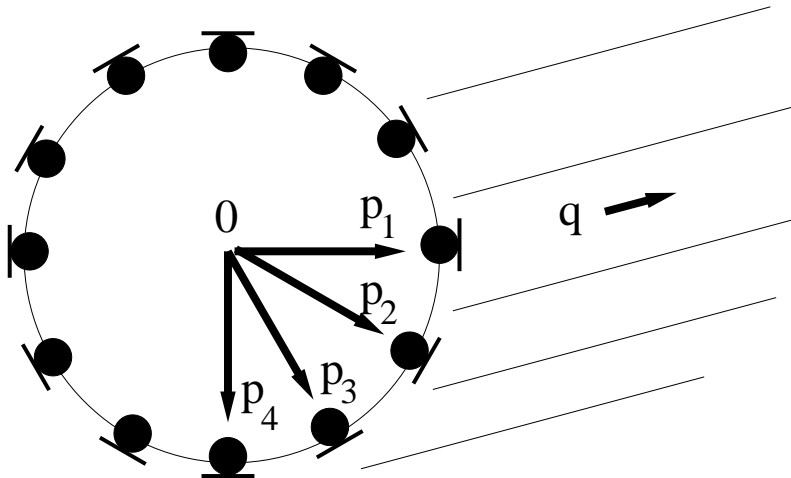
2. Proposed approach

1. Spatial aliasing equation
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Steering vector model

Far field assumption



Attenuation and delay model

$$A(f) \approx \begin{bmatrix} \frac{1}{\lambda_1} e^{-j2\pi \frac{f}{c} \mathbf{p}_1 \cdot \mathbf{q}_1} & \dots & \frac{1}{\lambda_n} e^{-j2\pi \frac{f}{c} \mathbf{p}_1 \cdot \mathbf{q}_n} \\ \vdots & & \vdots \\ \frac{1}{\lambda_1} e^{-j2\pi \frac{f}{c} \mathbf{p}_n \cdot \mathbf{q}_1} & \dots & \frac{1}{\lambda_n} e^{-j2\pi \frac{f}{c} \mathbf{p}_n \cdot \mathbf{q}_n} \end{bmatrix}$$

\mathbf{q}_1 ----- \mathbf{q}_n
Absolute DOAs

Estimated mixing matrix approximation from BSS

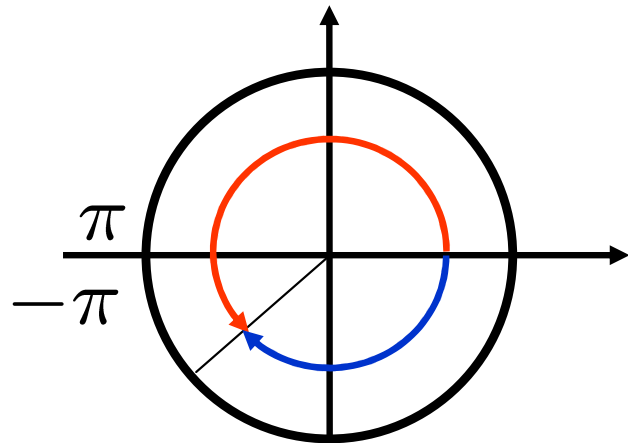
$$\widehat{A}(f) = B(f)^{-1} \approx \begin{bmatrix} \frac{1}{\lambda_1} e^{-j2\pi \frac{f}{c} \mathbf{p}_1 \cdot \mathbf{q}_{\sigma(f,1)}} & \dots & \frac{1}{\lambda_n} e^{-j2\pi \frac{f}{c} \mathbf{p}_1 \cdot \mathbf{q}_{\sigma(f,n)}} \\ \vdots & & \vdots \\ \frac{1}{\lambda_1} e^{-j2\pi \frac{f}{c} \mathbf{p}_n \cdot \mathbf{q}_{\sigma(f,1)}} & \dots & \frac{1}{\lambda_n} e^{-j2\pi \frac{f}{c} \mathbf{p}_n \cdot \mathbf{q}_{\sigma(f,n)}} \end{bmatrix}$$

$\mathbf{q}_{\sigma(f,1)}$ ----- $\mathbf{q}_{\sigma(f,n)}$
Permuted absolute DOAs

DOA estimation constraints

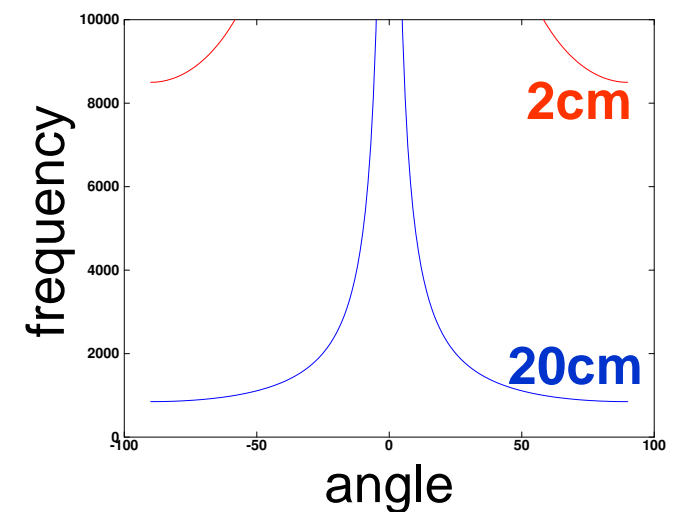
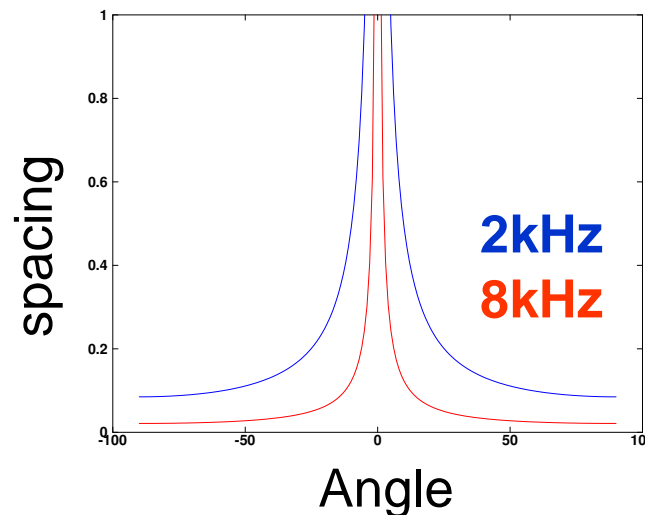
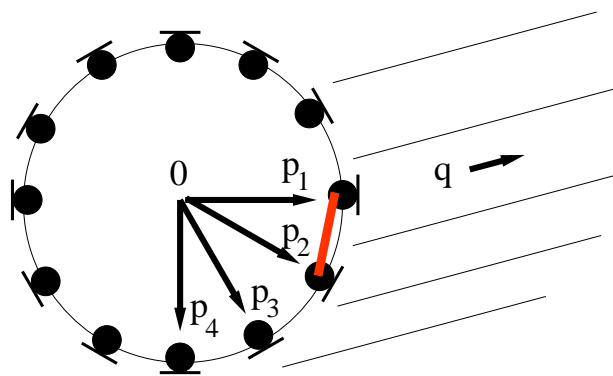
Ratio of coefficients

$$\arg \left(\frac{\widehat{\mathbf{A}}_{ij}(f)}{\widehat{\mathbf{A}}_{kj}(f)} \right) = -2\pi \frac{f}{c} (\mathbf{p}_i - \mathbf{p}_k) \cdot \mathbf{q}_\sigma(f, j),$$



Limited to domain where arg is bijective

$$-\pi < 2\pi \frac{f}{c} (\mathbf{p}_i - \mathbf{p}_k) \cdot \mathbf{q}_\sigma(f, j) \leq \pi$$



Use all sensors pairs

$$\arg \left(\frac{\widehat{\mathbf{A}}_{ij}(f)}{\widehat{\mathbf{A}}_{kj}(f)} \right) = -2\pi \frac{f}{c} (\mathbf{p}_i - \mathbf{p}_k) \cdot \mathbf{q}_{\sigma}(f,j),$$

Stack for all microphone pairs $L = \frac{n(n-1)}{2}$

$$\mathbf{R}_j(f) = \begin{bmatrix} \arg \left(\frac{\widehat{\mathbf{A}}_{1j}(f)}{\widehat{\mathbf{A}}_{2j}(f)} \right) \\ \arg \left(\frac{\widehat{\mathbf{A}}_{2j}(f)}{\widehat{\mathbf{A}}_{3j}(f)} \right) \\ \vdots \\ \arg \left(\frac{\widehat{\mathbf{A}}_{n-1j}(f)}{\widehat{\mathbf{A}}_{nj}(f)} \right) \end{bmatrix} \quad \mathbf{P} = \begin{bmatrix} \mathbf{p}_1 - \mathbf{p}_2 \\ \mathbf{p}_2 - \mathbf{p}_3 \\ \vdots \\ \mathbf{p}_{n-1} - \mathbf{p}_n \end{bmatrix} \quad \begin{matrix} \updownarrow \\ L \end{matrix}$$

Least square solution

$$\mathbf{R}_j(f) = -2\pi \frac{f}{c} \mathbf{P} \mathbf{q}_{\sigma}(f,j) \quad \Rightarrow \quad \mathbf{q}_{\sigma}(f,j) = -\frac{c}{2\pi f} \mathbf{P}^+ \mathbf{R}_j(f),$$

Unknown

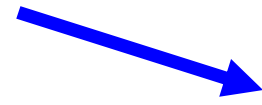
Permutation alignment

Cocktail party (speech/speech)

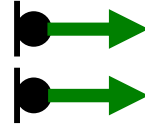
unknown



speaker 1



speaker 2



$X(t)$

F-D-BSS

$B(f_1)$

⋮

$B(f_F)$

DOA est.

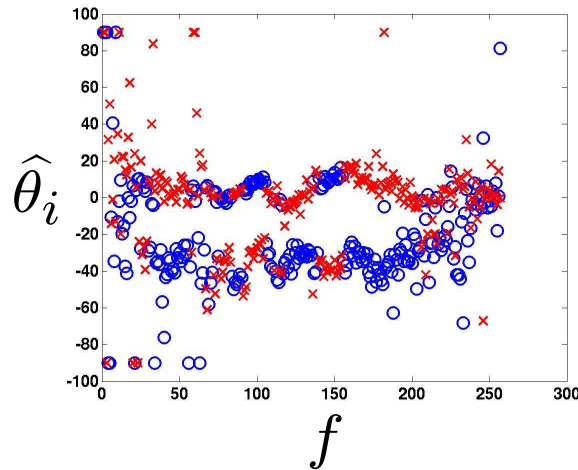
$\widehat{\theta}_1(f_1)$

$\widehat{\theta}_2(f_1)$

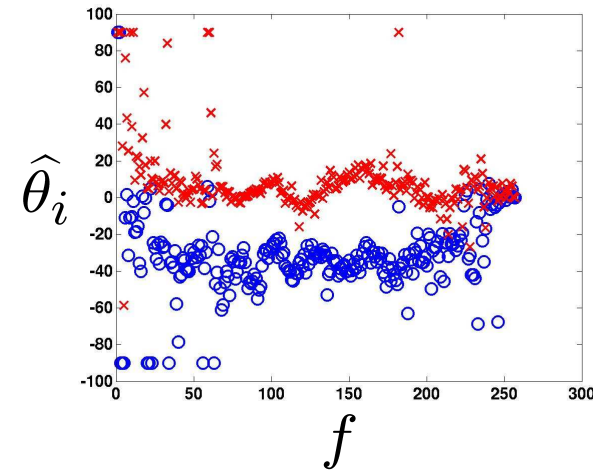
⋮

$\widehat{\theta}_1(f_F)$

$\widehat{\theta}_2(f_F)$



Align.



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1. FD-BSS & Permutation
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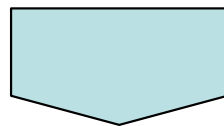
2. Proposed approach

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Including spatial aliasing

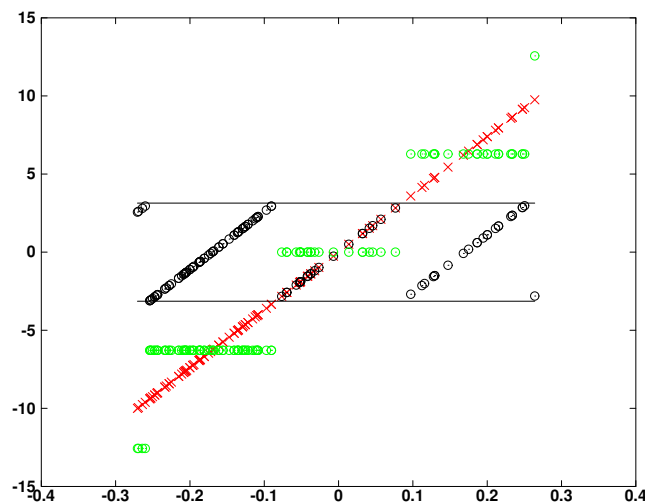
$$-\pi < 2\pi \frac{f}{c} (\mathbf{p}_i - \mathbf{p}_k) \cdot \mathbf{q}_\sigma(f, j) \leq \pi \quad \text{Not longer verified}$$



$$-\pi + \delta_{ijk} f 2\pi < 2\pi \frac{f}{c} (\mathbf{p}_i - \mathbf{p}_k) \cdot \mathbf{q}_\sigma(f, j) \leq \pi + \delta_{ijk} f 2\pi.$$

$\delta_{ijk} f$ integers

$f = 2000\text{Hz}$



$(\mathbf{p}_i - \mathbf{p}_k) \cdot \mathbf{q}_\sigma(f, j)$

..... $2\pi \frac{f}{c} (\mathbf{p}_i - \mathbf{p}_k) \cdot \mathbf{q}_\sigma(f, j)$

..... $\delta_{ijk} f 2\pi$

..... $2\pi \frac{f}{c} (\mathbf{p}_i - \mathbf{p}_k) \cdot \mathbf{q}_\sigma(f, j) - \delta_{ijk} f 2\pi$

Use all microphone pairs

$$\arg \left(\frac{\widehat{\mathbf{A}}_{ij}(f)}{\widehat{\mathbf{A}}_{kj}(f)} \right) = -2\pi \frac{f}{c} (\mathbf{p}_i - \mathbf{p}_k) \cdot \mathbf{q}_{\sigma}(f, j) + 2\pi \delta_{ijk} f.$$

Stack column ratios, sensor differences and aliasing compensations

$$\mathbf{R}_j(f) = \begin{bmatrix} \arg \left(\frac{\widehat{\mathbf{A}}_{1j}(f)}{\widehat{\mathbf{A}}_{2j}(f)} \right) \\ \arg \left(\frac{\widehat{\mathbf{A}}_{2j}(f)}{\widehat{\mathbf{A}}_{3j}(f)} \right) \\ \vdots \\ \arg \left(\frac{\widehat{\mathbf{A}}_{n-1j}(f)}{\widehat{\mathbf{A}}_{nj}(f)} \right) \end{bmatrix} \quad \mathbf{P} = \begin{bmatrix} \mathbf{p}_1 - \mathbf{p}_2 \\ \mathbf{p}_2 - \mathbf{p}_3 \\ \vdots \\ \mathbf{p}_{n-1} - \mathbf{p}_n \end{bmatrix} \quad \Delta_j(f) = \begin{bmatrix} \delta_{12jf} \\ \delta_{23jf} \\ \vdots \\ \delta_{nn-1jf} \end{bmatrix}$$

$$\mathbf{R}_j(f) = -2\pi \frac{f}{c} \mathbf{P} \mathbf{q}_{\sigma}(f, j) + 2\pi \Delta_j(f)$$

Unknown
Unknown

Equation verified by $\Delta_j(f)$

$$(I) \quad \mathbf{R}_j(f) = -2\pi \frac{f}{c} \mathbf{P} \mathbf{q}_{\sigma(f,j)} + 2\pi \Delta_j(f)$$

$$(II) \leftarrow \mathbf{P} \mathbf{P}^+ \times (I)$$

left pseudo-inverse
 $\mathbf{P}^+ \mathbf{P} = \mathbf{I}$

$$(II) \quad \mathbf{P} \mathbf{P}^+ \mathbf{R}_j(f) = -2\pi \frac{f}{c} \mathbf{P} \mathbf{P}^+ \mathbf{P} \mathbf{q}_{\sigma(f,j)} + 2\pi \mathbf{P} \mathbf{P}^+ \Delta_j(f)$$
$$= -2\pi \frac{f}{c} \mathbf{P} \mathbf{q}_{\sigma(f,j)} + 2\pi \mathbf{P} \mathbf{P}^+ \Delta_j(f)$$

$$(II) - (I)$$

$$(\mathbf{P} \mathbf{P}^+ - \mathbf{I}) \mathbf{R}_j(f) = 2\pi (\mathbf{P} \mathbf{P}^+ - \mathbf{I}) \Delta_j(f).$$

$$\left\{ \begin{array}{l} \mathbf{D}_j(f) = \frac{1}{2\pi} (\mathbf{P} \mathbf{P}^+ - \mathbf{I}) \mathbf{R}_j(f) \\ \mathbf{C} = \mathbf{P} \mathbf{P}^+ - \mathbf{I} \\ \mathbf{X} = \Delta_j(f). \end{array} \right. \quad \Rightarrow \quad \mathbf{C} \mathbf{X} = \mathbf{D}_j(f)$$

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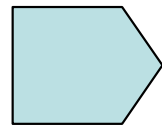
Solution of the equation

$$\mathbf{C}\mathbf{X} = \mathbf{D}_j(f)$$

$$\mathbf{C} = \mathbf{P}\mathbf{P}^+ - \mathbf{I}$$
$$L \times L$$

$$\text{rank}(\mathbf{C}) = L - \text{rank}(\mathbf{P})$$
$$\left[\begin{array}{l} \mathbf{v} \in \ker(\mathbf{C}) \rightarrow \mathbf{v} = \mathbf{P}\mathbf{u} \\ \mathbf{u} = \mathbf{P}^+\mathbf{v} \end{array} \right]$$

$\text{rank}(\mathbf{P})$ Depends of array configuration



Infinite number of real solutions

Real solution with minimal norm $\mathbf{X} = \mathbf{C}^+\mathbf{D}_j(f)$

Finding integer solution $\mathbf{X} = \Delta_j(f)$?

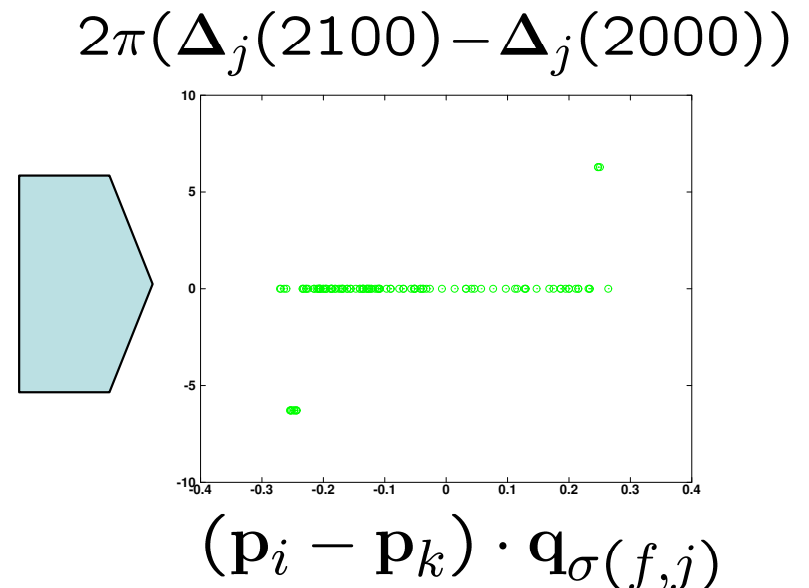
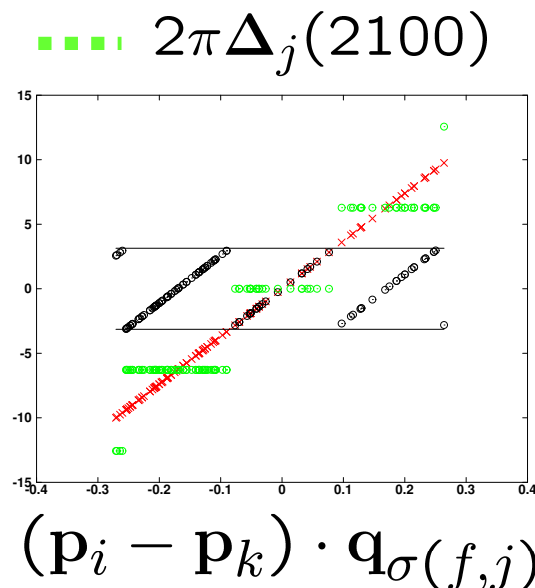
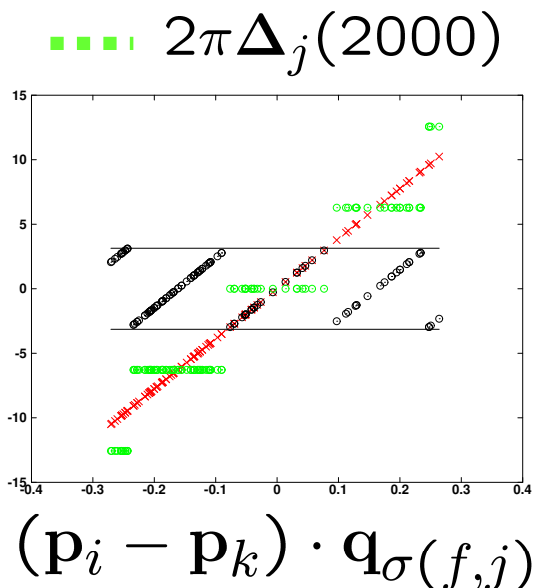
Sparse solution

$\Delta_j(f)$ null entries for row corresponding to microphone pair without aliasing

“Good” initial guess $\widehat{\Delta}_j(f)$

$\Delta_j(f) - \widehat{\Delta}_j(f)$ sparser than $\Delta_j(f)$

Solution of $CX = D_j(f) - C\widehat{\Delta}_j(f)$



Find the solution

Use previous bin as initial guess $\widehat{\Delta}_j(f) = \Delta_j(f - 1)$

Start from lower frequencies

$$\begin{cases} \mathbf{X} = \mathbf{C}^+ (\mathbf{D}_j(f) - \mathbf{C}\Delta_j(f - 1)) + \Delta_j(f - 1) \\ \Delta_j(f) = \lceil \mathbf{X} \rceil \end{cases}$$

Goal: having \mathbf{X} close to $\Delta_j(f)$

$$\text{Residual } \|\mathbf{C} (\Delta_j(f) - \mathbf{D}_j(f))\|$$

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Permutation resolution

$$\widehat{\mathbf{A}}(f-1) \xrightarrow{\substack{\text{permutation} \\ k \rightleftharpoons j}} \widehat{\mathbf{A}}(f)$$

Equation in bin f for row k $\mathbf{C}\mathbf{X} = \mathbf{D}_k(f) - \mathbf{C}\widehat{\Delta}_j(f-1)$.

$$\widehat{\Delta}_j(f-1) \neq \widehat{\Delta}_k(f-1) \quad \text{Close integer solution not found}$$

Residual $\|\mathbf{C}(\Delta_j(f) - \mathbf{D}_j(f))\|$ large

- Compare to a threshold (hard to fix threshold with noise)
- Compare residual for different rows (more robust)

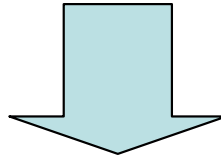
$$\widehat{\Delta}_j(f-1) \approx \widehat{\Delta}_k(f-1) \quad \text{Close integer solution found}$$

Residual $\|\mathbf{C}(\Delta_j(f) - \mathbf{D}_j(f))\|$ small

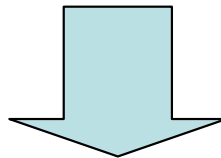
Need to estimate $q_{\sigma}(f,j) = -\frac{1}{2\pi f} \mathbf{P}^+ (\mathbf{R}_j(f) + 2\pi \widehat{\Delta}_j(f))$.

Post processing

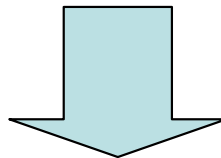
Consider all frequency bins with all rows having small residuals



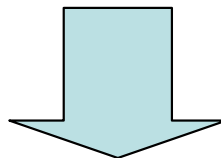
Estimate the absolute DOAs using these frequency bins



Average the DOAs along these frequency bins



For all frequency bins and all rows,
compute the distances between the DOA and these averaged absolute DOAs



cluster the rows according to these distances

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Simulation model

16 microphones uniform circular array (diam. 31cm, minimum spacing 3.12cm)

16kHz sampling frequency and 512 point fft

Model for the estimated mixing matrix $\widehat{\mathbf{A}}_{ij}(f) = \frac{1}{\lambda_1} e^{-j2\pi \frac{f}{c} \mathbf{p}_i \cdot \tilde{\mathbf{q}}_j} + \epsilon,$

$$\tilde{\mathbf{q}}_j = \begin{bmatrix} \cos(\theta) \sin(\phi) \\ \sin(\theta) \sin(\phi) \\ \cos(\phi) \end{bmatrix} \quad \begin{array}{l} \text{Angle errors} \\ \{\theta + \epsilon_2, \phi + \epsilon_3\} \\ \{\epsilon_2, \epsilon_3\} \text{ Uniform in } [-\gamma, \gamma] \end{array}$$

Additive noise ϵ Zero mean Gaussian with variance β

Randomly permutation of two columns for a percentage d of the frequency bins

Measure of performance

- r Percentage of frequency bins with adequate permutation
- e Mean square error of the absolute DOA (in dB)

Experiment 1

Influence of ϵ, γ, d

$$\tilde{q}_1 \{40, 30\}$$

$$\tilde{q}_2 \{-10, 25\}$$

Average results on 100 independent runs

Experiment 2

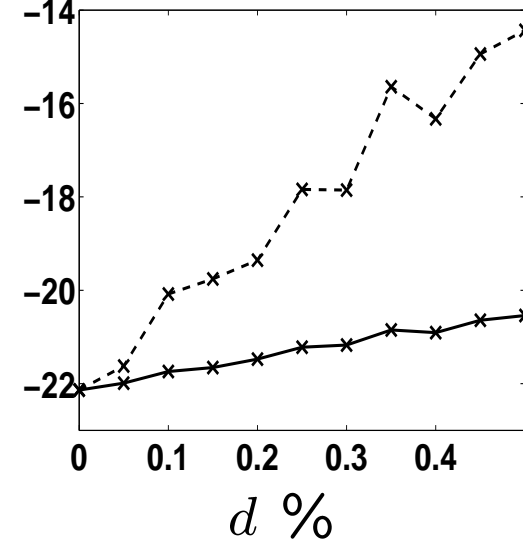
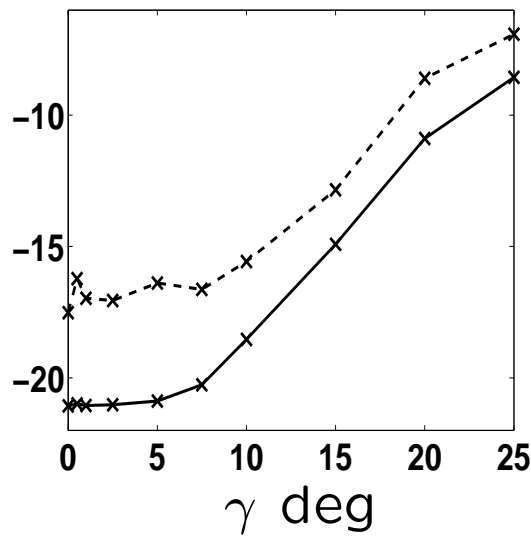
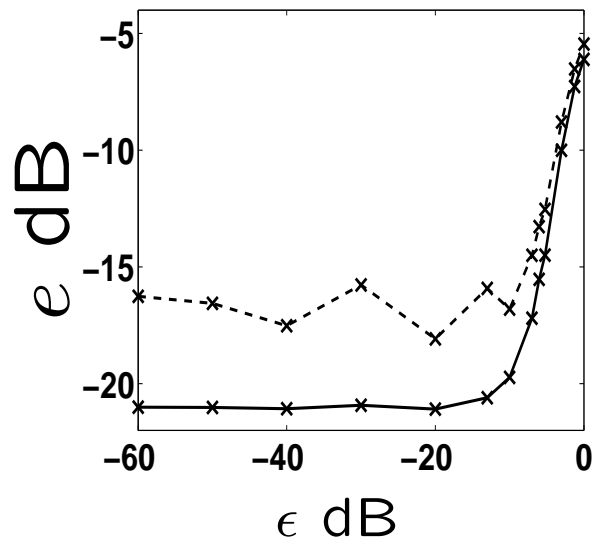
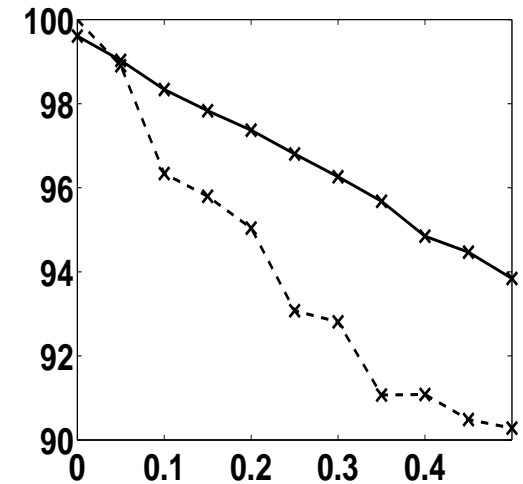
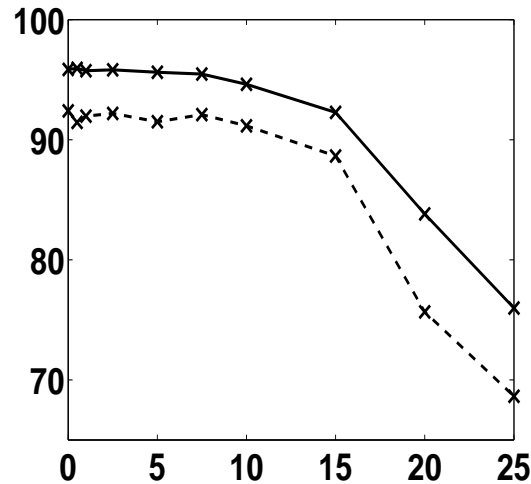
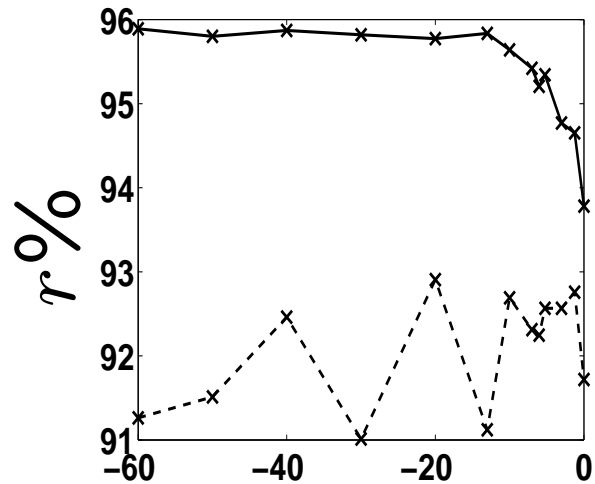
Influence of angle between \tilde{q}_1, \tilde{q}_2

$$\epsilon = -30\text{dB}, \gamma = 0, d = 33\%$$

Average results on 100 independent runs

Results 1

- - - Comparison of the residual
 — Comparison of the estimated DOA (post processing)



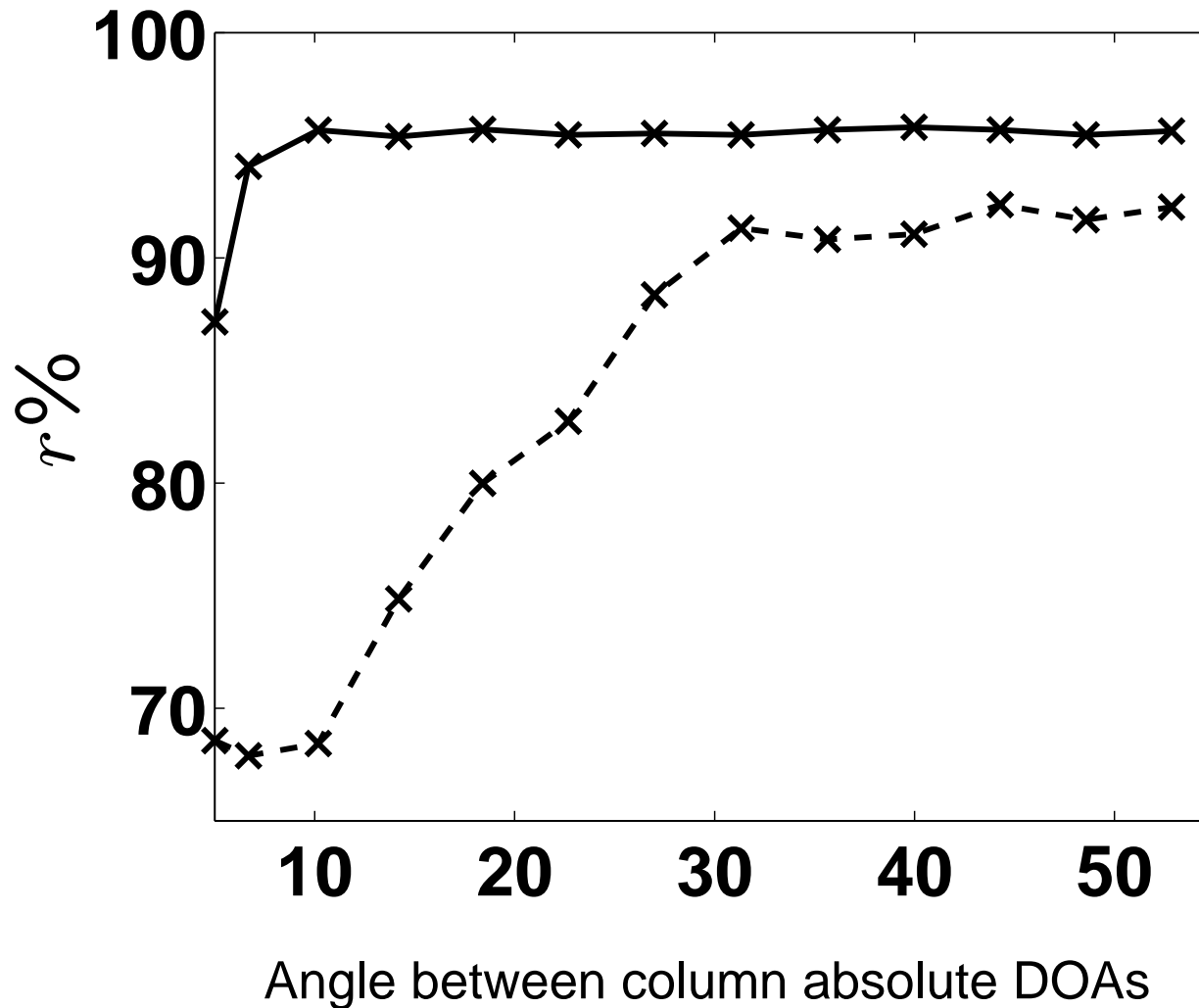
$\gamma = 0, d = 33\%$

$\epsilon = -30\text{dB}, d = 33\%$

$\gamma = 0, \epsilon = -30\text{dB}$

Results 2

- - - Comparison of the residual
- Comparison of the estimated DOA (post processing)



Outline

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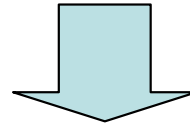
2. Proposed approach

1. Spatial aliasing equation
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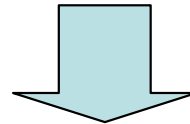
3. Simulation results

Conclusion

- **Blind signal separation (BSS)**
- **Frequency domain**
- **Permutation resolution**
- **DOA based approach**
- **Spatial aliasing**

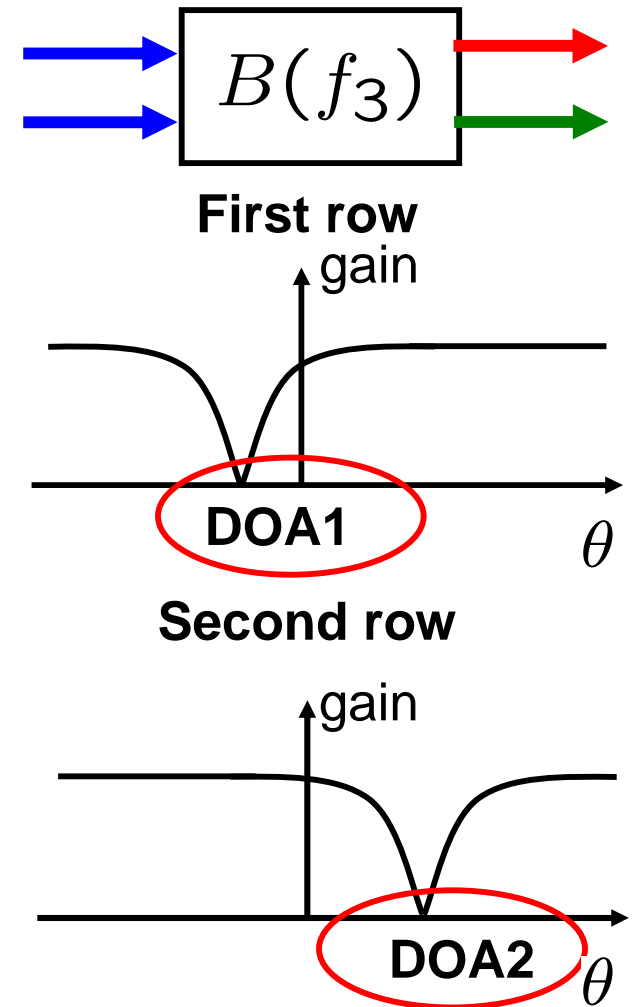
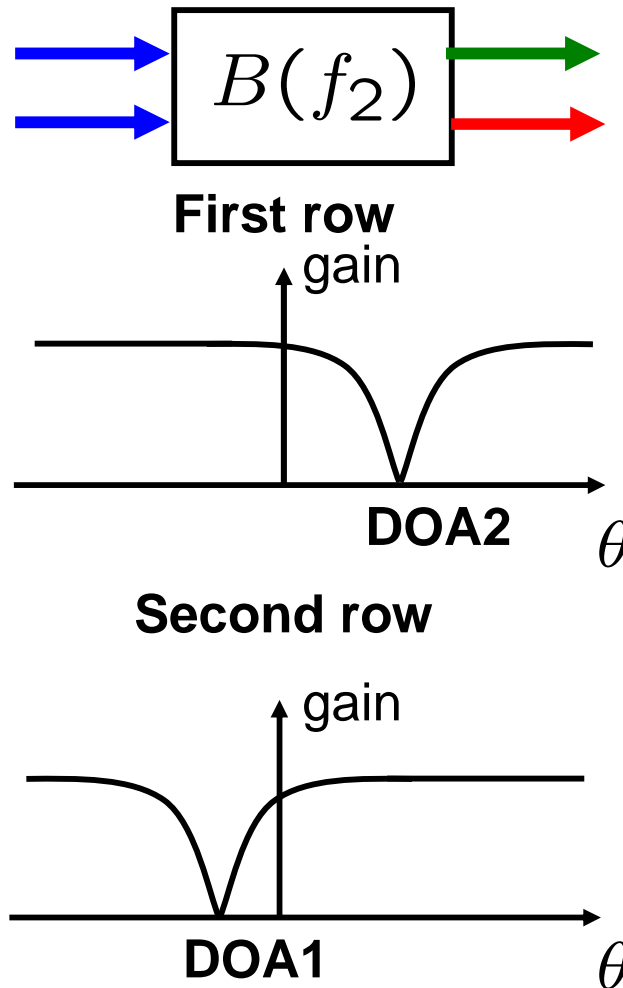
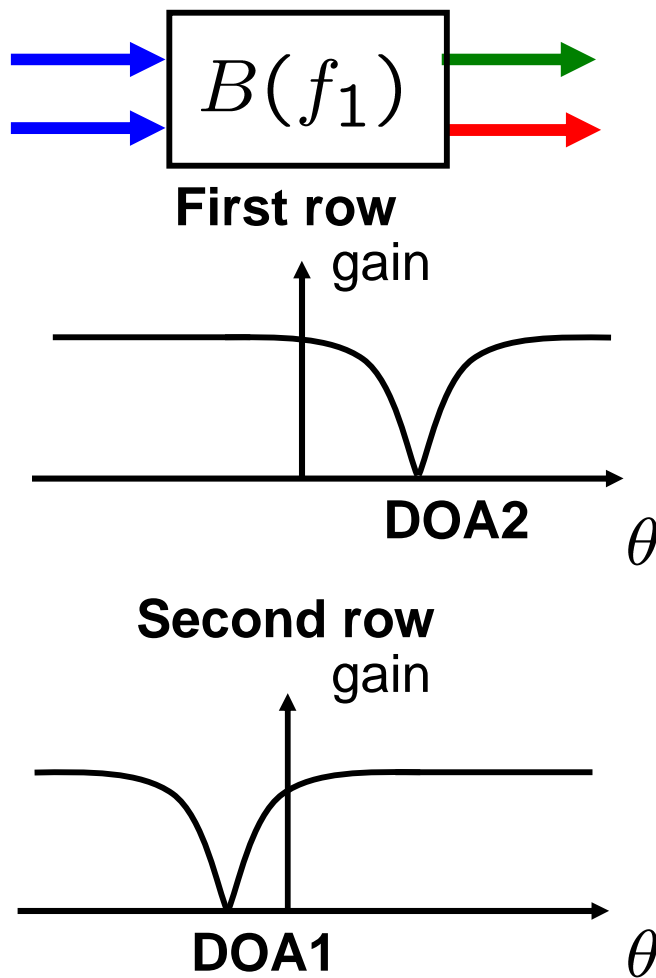


- **Model for the spatial aliasing**
- **Sparse solution**
- **Permutation resolution**



- **Better resolution of the equation**
- **Apply to real data**

Use of directivity pattern



Efficient suppression of Point sources

→ Speech 1 DOA1
 → Speech 2 DOA 2
 → Mixture

Permuted